

EXTENDED TO NOVEMBER 15, 2017
Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No. 1545-0052

2016

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2016 or tax year beginning

, and ending

| | | |
|---|--|--|
| Name of foundation ALTMAN FOUNDATION | | A Employer identification number 13-1623879 |
| Number and street (or P.O. box number if mail is not delivered to street address) 8 WEST 40TH STREET, 19TH FLOOR | Room/suite | B Telephone number (212) 682-0970 |
| City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10018 | | C If exemption application is pending, check here <input type="checkbox"/> |
| G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change | | D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation | | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 242,910,844. | J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/> |

| Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|--|------------------------------------|---------------------------|-------------------------|---|
| 1 Contributions, gifts, grants, etc., received | | | | N/A | |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B | | | | | |
| 3 Interest on savings and temporary cash investments | | 31,517. | 31,517. | | STATEMENT 1 |
| 4 Dividends and interest from securities | | 2,250,902. | 3,220,708. | | STATEMENT 2 |
| 5a Gross rents | | | | | |
| b Net rental income or (loss) | | | | | |
| 6a Net gain or (loss) from sale of assets not on line 10 | | 14,712,673. | | | |
| b Gross sales price for all assets on line 6a 37,032,626. | | | | | |
| 7 Capital gain net income (from Part IV, line 2) | | | 14,873,949. | | |
| 8 Net short-term capital gain | | | | | |
| 9 Income modifications | | | | | |
| 10a Gross sales less returns and allowances | | | | | |
| b Less: Cost of goods sold | | | | | |
| c Gross profit or (loss) | | 1,030,275. | 660,845. | | STATEMENT 3 |
| 11 Other income | | 18,025,367. | 18,787,019. | | |
| 12 Total. Add lines 1 through 11 | | | | | |
| 13 Compensation of officers, directors, trustees, etc. | | 322,724. | 16,136. | | 306,588. |
| 14 Other employee salaries and wages | | 1,030,750. | 288,800. | | 741,950. |
| 15 Pension plans, employee benefits | | 368,971. | 83,129. | | 452,669. |
| 16a Legal fees STMT 4 | | 625. | 141. | | 484. |
| b Accounting fees STMT 5 | | 37,863. | 8,530. | | 29,333. |
| c Other professional fees STMT 6 | | 2,569,672. | 2,468,018. | | 14,925. |
| 17 Interest | | 6. | 30,527. | | 5. |
| 18 Taxes STMT 7 | | 196,865. | 132,657. | | 0. |
| 19 Depreciation and depletion | | 102,939. | 23,192. | | |
| 20 Occupancy | | 388,235. | 87,469. | | 302,791. |
| 21 Travel, conferences, and meetings | | 33,709. | 12,826. | | 20,883. |
| 22 Printing and publications | | | | | |
| 23 Other expenses STMT 8 | | 169,474. | 131,010. | | 134,075. |
| 24 Total operating and administrative expenses. Add lines 13 through 23 | | 5,221,833. | 3,282,435. | | 2,003,703. |
| 25 Contributions, gifts, grants paid | | 12,329,398. | | | 11,103,433. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 17,551,231. | 3,282,435. | | 13,107,136. |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | 474,136. | | | |
| b Net investment income (if negative, enter -0-) | | | 15,504,584. | | |
| c Adjusted net income (if negative, enter -0-) | | | | N/A | |

| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only. | | | |
|--|--|--|----------------|-----------------------|-------------|
| | | Beginning of year | End of year | | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value | |
| Assets | 1 Cash - non-interest-bearing | 240. | 346. | 346. | |
| | 2 Savings and temporary cash investments | 13,053,763. | 10,826,266. | 10,826,266. | |
| | 3 Accounts receivable | | | | |
| | Less: allowance for doubtful accounts | | | | |
| | 4 Pledges receivable | | | | |
| | Less: allowance for doubtful accounts | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | | |
| | 7 Other notes and loans receivable | | | | |
| | Less: allowance for doubtful accounts | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | 96,335. | 208,398. | 208,398. | |
| | 10a Investments - U.S. and state government obligations | | | | |
| | b Investments - corporate stock | STMT 9 | 24,933,563. | 29,973,626. | 29,973,626. |
| | c Investments - corporate bonds | | | | |
| | 11 Investments - land, buildings, and equipment: basis | | | | |
| Less: accumulated depreciation | | | | | |
| 12 Investments - mortgage loans | | | | | |
| 13 Investments - other | STMT 10 | 199,690,202. | 199,517,906. | 199,517,906. | |
| 14 Land, buildings, and equipment: basis | 1,305,303. | | | | |
| Less: accumulated depreciation | STMT 11 | 205,873. | | | |
| 15 Other assets (describe) | STATEMENT 12 | 2,269,533. | 1,284,872. | 1,284,872. | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | | 241,246,005. | 242,910,844. | 242,910,844. | |
| Liabilities | 17 Accounts payable and accrued expenses | 575,824. | 148,177. | | |
| | 18 Grants payable | 1,795,000. | 2,938,000. | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | | |
| | 21 Mortgages and other notes payable | | | | |
| | 22 Other liabilities (describe) | STATEMENT 13 | 1,846,521. | 1,791,965. | |
| 23 Total liabilities (add lines 17 through 22) | | 4,217,345. | 4,878,142. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | | |
| | 24 Unrestricted | | 237,028,660. | 238,032,702. | |
| | 25 Temporarily restricted | | | | |
| | 26 Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31. | | | | |
| | 27 Capital stock, trust principal, or current funds | | | | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | | | | |
| 29 Retained earnings, accumulated income, endowment, or other funds | | | | | |
| 30 Total net assets or fund balances | | 237,028,660. | 238,032,702. | | |
| 31 Total liabilities and net assets/fund balances | | 241,246,005. | 242,910,844. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--|---|--------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 237,028,660. |
| 2 Enter amount from Part I, line 27a | 2 | 474,136. |
| 3 Other increases not included in line 2 (itemize) CHANGE IN UNREALIZED APPRECIATION | 3 | 540,721. |
| 4 Add lines 1, 2, and 3 | 4 | 238,043,517. |
| 5 Decreases not included in line 2 (itemize) DEFERRED FEDERAL EXCISE TAX | 5 | 10,815. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 238,032,702. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|--|---|---|----------------------------------|
| 1a ATTACHMENT B | | P | VARIOUS | VARIOUS |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | |
| a 38,808,328. | | 23,934,379. | 14,873,949. | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) | |
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | | |
| a | | | 14,873,949. | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |
| 2 Capital gain net income or (net capital loss) | | { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | | 2 14,873,949. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | | { } | | 3 N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|---------------------------------------|---|--|
| 2015 | 13,820,379. | 245,784,721. | .056230 |
| 2014 | 14,133,164. | 252,969,877. | .055869 |
| 2013 | 13,572,079. | 242,863,635. | .055884 |
| 2012 | 14,224,232. | 231,401,219. | .061470 |
| 2011 | 12,189,255. | 235,902,561. | .051671 |
| 2 Total of line 1, column (d) | | | 2 .281124 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | | | 3 .056225 |
| 4 Enter the net value of noncharitable-use assets for 2016 from Part X, line 5 | | | 4 233,414,653. |
| 5 Multiply line 4 by line 3 | | | 5 13,123,739. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | | | 6 155,046. |
| 7 Add lines 5 and 6 | | | 7 13,278,785. |
| 8 Enter qualifying distributions from Part XII, line 4 | | | 8 13,539,755. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|--|----|----------|----------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b | | 1 | 155,046. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 2 | 0. |
| 3 Add lines 1 and 2 | | 3 | 155,046. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | 4 | 0. |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- | | 5 | 155,046. |
| 6 Credits/Payments: | | | |
| a 2016 estimated tax payments and 2015 overpayment credited to 2016 | 6a | 353,644. | |
| b Exempt foreign organizations - tax withheld at source | 6b | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | 20,000. | |
| d Backup withholding erroneously withheld | 6d | | |
| 7 Total credits and payments. Add lines 6a through 6d | 7 | 373,644. | |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached | 8 | | |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | 9 | | |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | 10 | 218,598. | |
| 11 Enter the amount of line 10 to be: Credited to 2017 estimated tax 218,598. Refunded | 11 | 0. | |

Part VII-A Statements Regarding Activities

| | Yes | No |
|--|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | | X |
| b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i> | | X |
| c Did the foundation file Form 1120-POL for this year? | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0. | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0. | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i> | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> | | X |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? | X | |
| b If "Yes," has it filed a tax return on Form 990-T for this year? | X | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i> | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | X | |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i> | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► <u>NY</u> | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G ? <i>If "No," attach explanation</i> | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2016 or the taxable year beginning in 2016 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> | | X |
| 10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i> | | X |

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application?
Website address WWW.ALTMANFOUNDATION.ORG
14 The books are in care of THE ALTMAN FOUNDATION Telephone no. (212) 682-0970
Located at 8 WEST 40TH STREET, 19TH FLOOR, NEW YORK, NY ZIP+4 10018
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here
and enter the amount of tax-exempt interest received or accrued during the year 15 N/A
16 At any time during calendar year 2016, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?
See the instructions for exceptions and filing requirements for FinCEN Form 114. If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2016?
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):
a At the end of tax year 2016, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2016?
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?
b If "Yes," did it have excess business holdings in 2016 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2016.)
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2016?

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No
 Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **SEE STATEMENT 15** Yes No
 If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-------------------------|---|---|---|---------------------------------------|
| SEE STATEMENT 14 | | 322,724. | 51,565. | 0. |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| JEREMY TENNENBAUM - 8 WEST 40TH ST, 19TH FLOOR, NEW YORK, NY 10018 | CHIEF FINANCIAL OFFICER 35.00 | 218,000. | 57,434. | 0. |
| MARGARET MCALLISTER - 8 WEST 40TH ST, 19TH FLOOR, NEW YORK, NY 10018 | PROGRAM OFFICER 35.00 | 147,000. | 54,657. | 0. |
| DEBORAH THOMPSON-VELAZQUEZ - 8 WEST 40TH ST, 19TH FLOOR, NEW YORK, NY | SENIOR PROGRAM OFFICER 35.00 | 158,250. | 34,918. | 0. |
| GARY STEHR - 8 WEST 40TH ST, 19TH FLOOR, NEW YORK, NY 10018 | CONTROLLER 35.00 | 143,000. | 33,005. | 0. |
| RACHAEL PINE - 8 WEST 40TH ST, 19TH FLOOR, NEW YORK, NY 10018 | PROGRAM OFFICER 35.00 | 147,000. | 23,823. | 0. |
| Total number of other employees paid over \$50,000 | | | | 3 |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|--|-----------------------|------------------|
| AG NET LEASE REALTY FUND II LP - 245 PARK AVENUE, 26TH FLOOR, NEW YORK, NY 10167 | INVESTMENT MANAGEMENT | 242,370. |
| SILCHESTER INTERNATIONAL - 780 THIRD AVENUE, 42ND FLOOR, NEW YORK, NY 10017 | INVESTMENT MANAGEMENT | 212,748. |
| WILSHIRE ASSOCIATES - 210 SIXTH AVENUE, SUITE 3720, PITTSBURGH, PA 15222 | INVESTMENT ADVISORY | 159,750. |
| BRIGADE LEVERAGED CAPITAL STRUCTURES OFFSHORE 399 PARK AVE, SUITE 1600, NEW YORK, NY 10022 | INVESTMENT MANAGEMENT | 150,434. |
| ARCHIPELAGO HOLDINGS LTD - CLARENDON HOUSE, 2 CHURCH ST, HAMILTON, BERMUDA | INVESTMENT MANAGEMENT | 149,307. |
| Total number of others receiving over \$50,000 for professional services | | 12 |

Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|-----------------|
| 1 TO SUPPORT THE DEVELOPMENT OF A NEW CHILD-CARE CENTER TO SERVE FAMILIES IN EAST NEW YORK, BROOKLYN | 114,634. |
| 2 | |
| 3 All other program-related investments. See instructions. | |
| Total. Add lines 1 through 3 | 114,634. |

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|----|--------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 236,657,082. |
| b | Average of monthly cash balances | 1b | 312,109. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 236,969,191. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 236,969,191. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 3,554,538. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 233,414,653. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 11,670,733. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|----|---|----|-------------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 11,670,733. |
| 2a | Tax on investment income for 2016 from Part VI, line 5 | 2a | 155,046. |
| b | Income tax for 2016. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 155,046. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 11,515,687. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 11,515,687. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 11,515,687. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|----|-------------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 13,107,136. |
| b | Program-related investments - total from Part IX-B | 1b | 114,634. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | 317,985. |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 13,539,755. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 155,046. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 13,384,709. |

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2015 | (c) 2015 | (d) 2016 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2016 from Part XI, line 7 | | | | 11,515,687. |
| 2 Undistributed income, if any, as of the end of 2016: | | | | |
| a Enter amount for 2015 only | | | 0. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2016: | | | | |
| a From 2011 | 105,716. | | | |
| b From 2012 | 2,831,893. | | | |
| c From 2013 | 1,336,574. | | | |
| d From 2014 | 865,359. | | | |
| e From 2015 | 587,441. | | | |
| f Total of lines 3a through e | 5,726,983. | | | |
| 4 Qualifying distributions for 2016 from Part XII, line 4: ▶ \$ | 13,539,755. | | | |
| a Applied to 2015, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2016 distributable amount | | | | 11,515,687. |
| e Remaining amount distributed out of corpus | 2,024,068. | | | |
| 5 Excess distributions carryover applied to 2016 (if an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 | 7,751,051. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2015. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2016. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2017 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2011 not applied on line 5 or line 7 | 105,716. | | | |
| 9 Excess distributions carryover to 2017. Subtract lines 7 and 8 from line 6a | 7,645,335. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2012 | 2,831,893. | | | |
| b Excess from 2013 | 1,336,574. | | | |
| c Excess from 2014 | 865,359. | | | |
| d Excess from 2015 | 587,441. | | | |
| e Excess from 2016 | 2,024,068. | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2016, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

| | Tax year | | Prior 3 years | | (e) Total |
|--|----------|----------|---------------|----------|-----------|
| | (a) 2016 | (b) 2015 | (c) 2014 | (d) 2013 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE ATTACHED STATEMENT #17

- b** The form in which applications should be submitted and information and materials they should include:

SEE ATTACHED STATEMENT #17

- c** Any submission deadlines:

SEE ATTACHED STATEMENT #17

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

SEE ATTACHED STATEMENT #17

Part XV Supplementary Information (continued)

| 3 Grants and Contributions Paid During the Year or Approved for Future Payment | | | | |
|---|---|--------------------------------|----------------------------------|-------------|
| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | |
| a Paid during the year | | | | |
| SEE STATEMENT 18 | N/A | VARIOUS - SEE STATEMENT 18 | VARIOUS - SEE STATEMENT 18 | 11,103,433. |
| Total ▶ 3a | | | | |
| b Approved for future payment | | | | |
| SEE STATEMENT 19 | N/A | PC | VARIOUS - SEE STATEMENT 19 | 2,938,000. |
| Total ▶ 3b | | | | |

Underpayment of Estimated Tax by Corporations

Department of the Treasury
Internal Revenue Service

▶ Attach to the corporation's tax return. **FORM 990-PF**

2016

▶ Information about Form 2220 and its separate instructions is at www.irs.gov/form2220.

| | |
|----------------------------------|---|
| Name ALTMAN FOUNDATION | Employer identification number 13-1623879 |
|----------------------------------|---|

Note: Generally, the corporation isn't required to file Form 2220 (see Part II below for exceptions) because the IRS will figure any penalty owed and bill the corporation. However, the corporation may still use Form 2220 to figure the penalty. If so, enter the amount from page 2, line 38 on the estimated tax penalty line of the corporation's income tax return, but **do not** attach Form 2220.

| Part I Required Annual Payment | | | |
|--|----|----|----------|
| 1 Total tax (see instructions) | | 1 | 155,046. |
| 2 a Personal holding company tax (Schedule PH (Form 1120), line 26) included on line 1 | 2a | | |
| b Look-back interest included on line 1 under section 460(b)(2) for completed long-term contracts or section 167(g) for depreciation under the income forecast method | 2b | | |
| c Credit for federal tax paid on fuels (see instructions) | 2c | | |
| d Total. Add lines 2a through 2c | | 2d | |
| 3 Subtract line 2d from line 1. If the result is less than \$500, do not complete or file this form. The corporation doesn't owe the penalty | | 3 | 155,046. |
| 4 Enter the tax shown on the corporation's 2015 income tax return. See instructions. Caution: If the tax is zero or the tax year was for less than 12 months, skip this line and enter the amount from line 3 on line 5 | | 4 | 179,698. |
| 5 Required annual payment. Enter the smaller of line 3 or line 4. If the corporation is required to skip line 4, enter the amount from line 3 | | 5 | 155,046. |

Part II Reasons for Filing - Check the boxes below that apply. If any boxes are checked, the corporation **must** file Form 2220 even if it doesn't owe a penalty. See instructions.

6 The corporation is using the adjusted seasonal installment method.

7 The corporation is using the annualized income installment method.

8 The corporation is a "large corporation" figuring its first required installment based on the prior year's tax.

| Part III Figuring the Underpayment | | | | | |
|---|----|----------|----------|----------|----------|
| | | (a) | (b) | (c) | (d) |
| 9 Installment due dates. Enter in columns (a) through (d) the 15th day of the 4th (Form 990-PF filers: Use 5th month), 6th, 9th, and 12th months of the corporation's tax year | 9 | 05/15/16 | 06/15/16 | 09/15/16 | 12/15/16 |
| 10 Required installments. If the box on line 6 and/or line 7 above is checked, enter the amounts from Sch A, line 38. If the box on line 8 (but not 6 or 7) is checked, see instructions for the amounts to enter. If none of these boxes are checked, enter 25% (0.25) of line 5 above in each column. | 10 | 5,515. | 1,620. | 109,150. | 38,761. |
| 11 Estimated tax paid or credited for each period. For column (a) only, enter the amount from line 11 on line 15. See instructions | 11 | 83,644. | | 270,000. | |
| Complete lines 12 through 18 of one column before going to the next column. | | | | | |
| 12 Enter amount, if any, from line 18 of the preceding column | 12 | | 78,129. | 76,509. | 237,359. |
| 13 Add lines 11 and 12 | 13 | | 78,129. | 346,509. | 237,359. |
| 14 Add amounts on lines 16 and 17 of the preceding column | 14 | | | | |
| 15 Subtract line 14 from line 13. If zero or less, enter -0- | 15 | 83,644. | 78,129. | 346,509. | 237,359. |
| 16 If the amount on line 15 is zero, subtract line 13 from line 14. Otherwise, enter -0- | 16 | | 0. | 0. | |
| 17 Underpayment. If line 15 is less than or equal to line 10, subtract line 15 from line 10. Then go to line 12 of the next column. Otherwise, go to line 18 | 17 | | | | |
| 18 Overpayment. If line 10 is less than line 15, subtract line 10 from line 15. Then go to line 12 of the next column | 18 | 78,129. | 76,509. | 237,359. | |

Go to Part IV on page 2 to figure the penalty. Do not go to Part IV if there are no entries on line 17 - no penalty is owed.

LHA For Paperwork Reduction Act Notice, see separate instructions. Form 2220 (2016)

Part IV Figuring the Penalty

| | (a) | (b) | (c) | (d) |
|--|--------------|-----|-----|-----|
| 19 Enter the date of payment or the 15th day of the 4th month after the close of the tax year, whichever is earlier. <i>(C Corporations with tax years ending June 30 and S corporations: Use 3rd month instead of 4th month. Form 990-PF and Form 990-T filers: Use 5th month instead of 4th month.)</i> See instructions | 19 | | | |
| 20 Number of days from due date of installment on line 9 to the date shown on line 19 | 20 | | | |
| 21 Number of days on line 20 after 4/15/2016 and before 7/1/2016 | 21 | | | |
| 22 Underpayment on line 17 x $\frac{\text{Number of days on line 21} \times 4\% (0.04)}{366}$ | 22 \$ | \$ | \$ | \$ |
| 23 Number of days on line 20 after 06/30/2016 and before 10/1/2016 | 23 | | | |
| 24 Underpayment on line 17 x $\frac{\text{Number of days on line 23} \times 4\% (0.04)}{366}$ | 24 \$ | \$ | \$ | \$ |
| 25 Number of days on line 20 after 9/30/2016 and before 1/1/2017 | 25 | | | |
| 26 Underpayment on line 17 x $\frac{\text{Number of days on line 25} \times 4\% (0.04)}{366}$ | 26 \$ | \$ | \$ | \$ |
| 27 Number of days on line 20 after 12/31/2016 and before 4/1/2017 | 27 | | | |
| 28 Underpayment on line 17 x $\frac{\text{Number of days on line 27} \times 4\% (0.04)}{365}$ | 28 \$ | \$ | \$ | \$ |
| 29 Number of days on line 20 after 3/31/2017 and before 7/1/2017 | 29 | | | |
| 30 Underpayment on line 17 x $\frac{\text{Number of days on line 29} \times \%}{365}$ | 30 \$ | \$ | \$ | \$ |
| 31 Number of days on line 20 after 6/30/2017 and before 10/1/2017 | 31 | | | |
| 32 Underpayment on line 17 x $\frac{\text{Number of days on line 31} \times \%}{365}$ | 32 \$ | \$ | \$ | \$ |
| 33 Number of days on line 20 after 9/30/2017 and before 1/1/2018 | 33 | | | |
| 34 Underpayment on line 17 x $\frac{\text{Number of days on line 33} \times \%}{365}$ | 34 \$ | \$ | \$ | \$ |
| 35 Number of days on line 20 after 12/31/2017 and before 3/16/2018 | 35 | | | |
| 36 Underpayment on line 17 x $\frac{\text{Number of days on line 35} \times \%}{365}$ | 36 \$ | \$ | \$ | \$ |
| 37 Add lines 22, 24, 26, 28, 30, 32, 34, and 36 | 37 \$ | \$ | \$ | \$ |
| 38 Penalty. Add columns (a) through (d) of line 37. Enter the total here and on Form 1120, line 33; or the comparable line for other income tax returns | 38 \$ | | | 0. |

* Use the penalty interest rate for each calendar quarter, which the IRS will determine during the first month in the preceding quarter. These rates are published quarterly in an IRS News Release and in a revenue ruling in the Internal Revenue Bulletin. To obtain this information on the Internet, access the IRS website at www.irs.gov. You can also call 1-800-829-4933 to get interest rate information.

Schedule A Adjusted Seasonal Installment Method and Annualized Income Installment Method

See instructions.

Form 1120S filers: For lines 1, 2, 3, and 21, below, "taxable income" refers to excess net passive income or the amount on which tax is imposed under section 1374(a), whichever applies.

Part I Adjusted Seasonal Installment Method

Caution: Use this method only if the base period percentage for any 6 consecutive months is at least 70%. See instructions.

| | | (a) | (b) | (c) | (d) |
|-----|---|----------------|----------------|----------------|-----------------|
| | | First 3 months | First 5 months | First 8 months | First 11 months |
| 1 | Enter taxable income for the following periods: | | | | |
| a | Tax year beginning in 2013 | 1a | | | |
| b | Tax year beginning in 2014 | 1b | | | |
| c | Tax year beginning in 2015 | 1c | | | |
| 2 | Enter taxable income for each period for the tax year beginning in 2016. See the instructions for the treatment of extraordinary items | 2 | | | |
| 3 | Enter taxable income for the following periods: | | First 4 months | First 6 months | First 9 months |
| a | Tax year beginning in 2013 | 3a | | | Entire year |
| b | Tax year beginning in 2014 | 3b | | | |
| c | Tax year beginning in 2015 | 3c | | | |
| 4 | Divide the amount in each column on line 1a by the amount in column (d) on line 3a | 4 | | | |
| 5 | Divide the amount in each column on line 1b by the amount in column (d) on line 3b | 5 | | | |
| 6 | Divide the amount in each column on line 1c by the amount in column (d) on line 3c | 6 | | | |
| 7 | Add lines 4 through 6 | 7 | | | |
| 8 | Divide line 7 by 3.0 | 8 | | | |
| 9a | Divide line 2 by line 8 | 9a | | | |
| b | Extraordinary items (see instructions) | 9b | | | |
| c | Add lines 9a and 9b | 9c | | | |
| 10 | Figure the tax on the amt on ln 9c using the instr for Form 1120, Sch J, line 2 or comparable line of corp's return | 10 | | | |
| 11a | Divide the amount in columns (a) through (c) on line 3a by the amount in column (d) on line 3a | 11a | | | |
| b | Divide the amount in columns (a) through (c) on line 3b by the amount in column (d) on line 3b | 11b | | | |
| c | Divide the amount in columns (a) through (c) on line 3c by the amount in column (d) on line 3c | 11c | | | |
| 12 | Add lines 11a through 11c | 12 | | | |
| 13 | Divide line 12 by 3.0 | 13 | | | |
| 14 | Multiply the amount in columns (a) through (c) of line 10 by columns (a) through (c) of line 13. In column (d), enter the amount from line 10, column (d) | 14 | | | |
| 15 | Enter any alternative minimum tax for each payment period. See instructions | 15 | | | |
| 16 | Enter any other taxes for each payment period. See instr. | 16 | | | |
| 17 | Add lines 14 through 16 | 17 | | | |
| 18 | For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions | 18 | | | |
| 19 | Total tax after credits. Subtract line 18 from line 17. If zero or less, enter -0- | 19 | | | |

Part II Annualized Income Installment Method

| | | (a) | (b) | (c) | (d) |
|--|-----|--------------------------|--------------------------|--------------------------|--------------------------|
| | | First <u>2</u> months | First <u>3</u> months | First <u>6</u> months | First <u>9</u> months |
| 20 Annualization periods (see instructions) | 20 | | | | |
| 21 Enter taxable income for each annualization period. See instructions for the treatment of extraordinary items | 21 | 367,691. | 356,739. | 9,759,843. | 11,780,847. |
| 22 Annualization amounts (see instructions) | 22 | 6.000000 | 4.000000 | 2.000000 | 1.333330 |
| 23a Annualized taxable income. Multiply line 21 by line 22 | 23a | 2,206,146. | 1,426,956. | 19,519,686. | 15,707,757. |
| b Extraordinary items (see instructions) | 23b | | | | |
| c Add lines 23a and 23b | 23c | 2,206,146. | 1,426,956. | 19,519,686. | 15,707,757. |
| 24 Figure the tax on the amount on line 23c using the instructions for Form 1120, Schedule J, line 2, or comparable line of corporation's return | 24 | 22,061. | 14,270. | 195,197. | 157,078. |
| 25 Enter any alternative minimum tax for each payment period (see instructions) | 25 | | | | |
| 26 Enter any other taxes for each payment period. See instr. | 26 | | | | |
| 27 Total tax. Add lines 24 through 26 | 27 | 22,061. | 14,270. | 195,197. | 157,078. |
| 28 For each period, enter the same type of credits as allowed on Form 2220, lines 1 and 2c. See instructions | 28 | | | | |
| 29 Total tax after credits. Subtract line 28 from line 27. If zero or less, enter -0- | 29 | 22,061. | 14,270. | 195,197. | 157,078. |
| 30 Applicable percentage | 30 | 25% | 50% | 75% | 100% |
| 31 Multiply line 29 by line 30 | 31 | 5,515. | 7,135. | 146,398. | 157,078. |

Part III Required Installments

| | | 1st installment | 2nd installment | 3rd installment | 4th installment |
|---|----|--------------------|--------------------|--------------------|--------------------|
| Note: Complete lines 32 through 38 of one column before completing the next column. | | | | | |
| 32 If only Part I or Part II is completed, enter the amount in each column from line 19 or line 31. If both parts are completed, enter the smaller of the amounts in each column from line 19 or line 31 | 32 | 5,515. | 7,135. | 146,398. | 157,078. |
| 33 Add the amounts in all preceding columns of line 38. See instructions | 33 | | 5,515. | 7,135. | 116,285. |
| 34 Adjusted seasonal or annualized income installments. Subtract line 33 from line 32. If zero or less, enter -0- | 34 | 5,515. | 1,620. | 139,263. | 40,793. |
| 35 Enter 25% (0.25) of line 5 on page 1 of Form 2220 in each column. Note: "Large corporations," see the instructions for line 10 for the amounts to enter | 35 | 38,762. | 38,761. | 38,762. | 38,761. |
| 36 Subtract line 38 of the preceding column from line 37 of the preceding column | 36 | | 33,247. | 70,388. | |
| 37 Add lines 35 and 36 | 37 | 38,762. | 72,008. | 109,150. | 38,761. |
| 38 Required installments. Enter the smaller of line 34 or line 37 here and on page 1 of Form 2220, line 10. See instructions | 38 | 5,515. | 1,620. | 109,150. | 38,761. |

Form 2220 (2016)

**** ANNUALIZED INCOME INSTALLMENT METHOD USING STANDARD OPTION**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|-------------------------|-----------------------------|---------------------------------|-------------------------------|
| CHECKING ACCOUNT | 28,428. | 28,428. | |
| MONEY MARKET FUNDS | 3,089. | 3,089. | |
| TOTAL TO PART I, LINE 3 | 31,517. | 31,517. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|-------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| DIVIDENDS | 1,870,185. | 0. | 1,870,185. | 2,119,430. | |
| INTEREST | 380,717. | 0. | 380,717. | 1,101,278. | |
| TO PART I, LINE 4 | 2,250,902. | 0. | 2,250,902. | 3,220,708. | |

FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| PARTNERSHIP INCOME | 943,469. | 649,039. | |
| NEW YORK CITY HISTORICAL PROPERTIES | | | |
| FUND PRI INTEREST | 4,360. | 4,360. | |
| MISCELLANEOUS INCOME | 7,446. | 7,446. | |
| GRANTS RESCINDED | 75,000. | 0. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 1,030,275. | 660,845. | |

| FORM 990-PF | LEGAL FEES | | | STATEMENT 4 |
|----------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| PATTERSON, BELKNAP, WEBB & TYLER | 625. | 141. | | 484. |
| TO FM 990-PF, PG 1, LN 16A | 625. | 141. | | 484. |

| FORM 990-PF | ACCOUNTING FEES | | | STATEMENT 5 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| PKF O'CONNOR DAVIES, LLP | 37,863. | 8,530. | | 29,333. |
| TO FORM 990-PF, PG 1, LN 16B | 37,863. | 8,530. | | 29,333. |

| FORM 990-PF | OTHER PROFESSIONAL FEES | | | STATEMENT 6 |
|------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| INVESTMENT MANAGEMENT FEES | 2,311,870. | 2,225,141. | | 0. |
| CUSTODY FEES | 78,786. | 78,786. | | 0. |
| INVESTMENT ADVISORY FEES | 159,750. | 159,750. | | 0. |
| OTHER PROFESSIONAL FEES | 19,266. | 4,341. | | 14,925. |
| TO FORM 990-PF, PG 1, LN 16C | 2,569,672. | 2,468,018. | | 14,925. |

| FORM 990-PF | TAXES | | | STATEMENT 7 |
|----------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| FOREIGN TAXES WITHHELD/PAID | 38,398. | 132,657. | | 0. |
| FEDERAL EXCISE TAX | 158,217. | 0. | | 0. |
| UNRELATED BUSINESS INCOME TAX | 250. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 196,865. | 132,657. | | 0. |

| FORM 990-PF | OTHER EXPENSES | | | STATEMENT 8 |
|--|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
| INSURANCE | 21,167. | 4,769. | | 16,398. |
| OFFICE SUPPLIES AND EQUIPMENT | 6,546. | 1,476. | | 5,076. |
| POSTAGE & MESSENGER EQUIPMENT RENTAL & MAINTENANCE | 1,052. | 237. | | 815. |
| MEMBERSHIPS & SUBSCRIPTIONS | 10,351. | 2,332. | | 8,018. |
| FILING FEE | 44,311. | 9,983. | | 34,327. |
| OFFICE MAINTENANCE | 3,080. | 694. | | 2,386. |
| COMPUTER MAINTENANCE | 15,637. | 3,523. | | 12,795. |
| BANK FEES | 59,677. | 13,445. | | 48,331. |
| OTHER PARTNERSHIP EXPENSES | 7,653. | 1,724. | | 5,929. |
| TO FORM 990-PF, PG 1, LN 23 | 0. | 92,827. | | 0. |
| | 169,474. | 131,010. | | 134,075. |

| FORM 990-PF | CORPORATE STOCK | | STATEMENT 9 |
|---|-----------------|----------------------|-------------|
| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE | |
| ATTACHMENT A-1 | 29,973,626. | 29,973,626. | |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 29,973,626. | 29,973,626. | |

| FORM 990-PF | OTHER INVESTMENTS | STATEMENT | 10 |
|--|-------------------|--------------|-------------------|
| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
| PRIVATE EQUITY - ATTACHMENT A-2 | FMV | 37,720,602. | 37,720,602. |
| INTERNATIONAL EQUITY - ATTACHMENT A-2 | FMV | 30,673,996. | 30,673,996. |
| FIXED INCOME - ATTACHMENT A-2 | FMV | 28,128,959. | 28,128,959. |
| DOMESTIC EQUITY - ATTACHMENT A-2 | FMV | 14,761,460. | 14,761,460. |
| GLOBAL EQUITY - ATTACHMENT A-2 | FMV | 12,066,325. | 12,066,325. |
| REAL ASSETS - ATTACHMENT A-2 | FMV | 10,720,996. | 10,720,996. |
| REAL ESTATE - ATTACHMENT A-2 | FMV | 11,597,263. | 11,597,263. |
| EQUITY ORIENTED HEDGE - ATTACHMENT A-2 | FMV | 25,915,622. | 25,915,622. |
| FIXED INCOME SUBSTITUTE - ATTACHMENT A-2 | FMV | 27,475,103. | 27,475,103. |
| NET UNSETTLED SECURITY TRADES | FMV | 457,580. | 457,580. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 199,517,906. | 199,517,906. |

| FORM 990-PF | DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT | STATEMENT | 11 |
|------------------------------------|--|--------------------------|------------|
| DESCRIPTION | COST OR OTHER BASIS | ACCUMULATED DEPRECIATION | BOOK VALUE |
| LEASEHOLD IMPROVEMENTS | 973,821. | 125,004. | 848,817. |
| FURNITURE AND EQUIPMENT | 195,809. | 39,162. | 156,647. |
| COMPUTER HARDWARE AND SOFTWARE | 135,673. | 41,707. | 93,966. |
| TOTAL TO FM 990-PF, PART II, LN 14 | 1,305,303. | 205,873. | 1,099,430. |

| FORM 990-PF | OTHER ASSETS | STATEMENT | 12 |
|----------------------------------|----------------------------|------------------------|-------------------|
| DESCRIPTION | BEGINNING OF YR BOOK VALUE | END OF YEAR BOOK VALUE | FAIR MARKET VALUE |
| PROGRAM RELATED INVESTMENTS | 1,641,390. | 645,308. | 645,308. |
| DEFERRED PENSION ASSET | 628,143. | 639,564. | 639,564. |
| TO FORM 990-PF, PART II, LINE 15 | 2,269,533. | 1,284,872. | 1,284,872. |

| FORM 990-PF | OTHER LIABILITIES | STATEMENT | 13 |
|--|-------------------|------------|----|
| DESCRIPTION | BOY AMOUNT | EOY AMOUNT | |
| DEFERRED FEDERAL EXCISE TAX | 634,717. | 645,532. | |
| DEFERRED PENSION LIABILITY | 610,733. | 547,387. | |
| DEFERRED RENT AND LANDLORD INCENTIVE | 601,071. | 599,046. | |
| TOTAL TO FORM 990-PF, PART II, LINE 22 | 1,846,521. | 1,791,965. | |

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 14

| NAME AND ADDRESS | TITLE AND AVRG HRS/WK | COMPEN-SATION | EMPLOYEE BEN PLAN CONTRIB | EXPENSE ACCOUNT |
|--|---|---------------|---------------------------|-----------------|
| JANE B O'CONNELL 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | PRESIDENT 35.00 | 0. | 0. | 0. |
| JOHN W TOWNSEND IV 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | VICE PRESIDENT & TREASURER 1.50 | 0. | 0. | 0. |
| BEVERLY CHASE 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | SECRETARY (EFFECTIVE 4/19/2016) 1.50 | 0. | 0. | 0. |
| JULIA V SHEA 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | SECRETARY (THRU 4/18/2016) 1.50 | 0. | 0. | 0. |
| KAREN L ROSA 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | EXEC DIR/VP 35.00 | 322,724. | 51,565. | 0. |
| JAMES M. BURKE, ESQ. 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | TRUSTEE 1.50 | 0. | 0. | 0. |
| LUTHER M. RAGIN, JR. 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | TRUSTEE 1.50 | 0. | 0. | 0. |

ALTMAN FOUNDATION

13-1623879

| | | | | |
|--|-----------------|----|----|----|
| PATRICIA VOLLAND 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | TRUSTEE 1.50 | 0. | 0. | 0. |
| SANDRA A LAMB 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | TRUSTEE 1.50 | 0. | 0. | 0. |
| WILFRED FINNEGAN 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | TRUSTEE 1.50 | 0. | 0. | 0. |
| WILLIAM GOODLOE 8 WEST 40TH ST, 19TH FLOOR NEW YORK, NY 10018 | TRUSTEE 1.50 | 0. | 0. | 0. |

| | | | | |
|--|--|-----------------|----------------|-----------|
| TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII | | <u>322,724.</u> | <u>51,565.</u> | <u>0.</u> |
|--|--|-----------------|----------------|-----------|

Altman Foundation
E.I.N. # 13-1623879
 ATTACHMENT TO 2016 FORM 990-PF, PART VII-B
 STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

- (1) **Grantee:** New York State Health Foundation
- (2) **Date and Amounts Paid:** \$115,000 on November 20, 2014
- (3) **Total Paid to date:** \$115,000
- (4) **Purpose:** TO CONTRIBUTE TOWARDS THE GRANTEE'S STATEWIDE INITIATIVE THAT SUPPORTS A NETWORK OF COMMUNITY-BASED NONPROFIT ORGANIZATIONS WITH THE CAPABILITY OF REACHING LARGE NUMBERS OF UNINSURED OR UNDERINSURED INDIVIDUALS AND FACILITATING THEIR ENROLLMENT IN HEALTH INSURANCE PLANS AND BENEFITS THROUGH THE NEW YORK STATE HEALTH INSURANCE MARKETPLACE
- (5) **Amount of Grant Spent by Grantee:** \$110,000 on April 16, 2015
\$ 5,000 on September 12, 2016
- (6) **Diversion:** To the knowledge of Altman Foundation, and based on the report(s) furnished by the grantee, no part has been used for other than its intended purpose.
- (7) **Date of Report(s) Received from Grantee:** August 10, 2016 and September 15, 2016
- (8) **Verification** Altman Foundation reviewed the grant reports dated August 10, 2016 and September 15, 2016 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

Altman Foundation
E.I.N. # 13-1623879
ATTACHMENT TO 2016 FORM 990-PF, PART VII-B
STATEMENT REQUIRED BY REG. §53.4945-5(d)

INFORMATION WITH RESPECT TO EXPENDITURE RESPONSIBILITY GRANTS

- (1) **Grantee:** New York Foundation
- (2) **Date and Amounts Paid:** \$100,000 on June 20, 2016
- (3) **Total Paid to date:** \$100,000
- (4) **Purpose:** to support the Neighborhoods First Fund for Community Planning donor collaborative's efforts to improve community-based planning capacity in neighborhoods that are likely to be considered for rezoning initiatives.
- (5) **Amount of Grant Spent by Grantee:** \$100,000
- (6) **Diversion:** To the knowledge of Altman Foundation, and based on the report(s) furnished by the grantee, no part has been used for other than its intended purpose.
- (7) **Date of Report Received from Grantee:** July 5, 2017
- (8) **Verification** Altman Foundation reviewed the grant report dated July 5, 2017 but did not undertake any verification of the grantee's reports as there has not been any reason to doubt their accuracy or reliability (Reg. 53.4945-5(c)).

Altman Foundation
Form 990PF: Part II, Line 14
Schedule of Depreciation for 2016

| Asset No. | Description | Year | | Life (years) | Cost 12/31/15 | Additions/ Disposals | Cost 12/31/16 | Accum. Deprec. 12/31/2015 | 2016 | Accum. Deprec. 12/31/2016 | Book Value 12/31/16 |
|-----------|------------------------|----------|--------|-----------------|------------------|-------------------------|------------------|------------------------------|----------------|------------------------------|------------------------|
| | | Acquired | Method | | | | | | Depreciation | | |
| 1 | Furniture & Fixtures | 2015 | SL | 10.00 | 195,809 | - | 195,809 | 19,581 | 19,581 | 39,162 | 156,647 |
| 2 | Computer Equipment | 2015 | SL | 5.00 | 25,765 | - | 25,765 | 5,153 | 5,153 | 10,306 | 15,459 |
| 3 | Computer Software | 2014 | SL | 7.00 | 62,212 | - | 62,212 | 8,887 | 8,887 | 17,774 | 44,438 |
| 4 | Computer Software | 2015 | SL | 7.00 | 47,696 | - | 47,696 | 6,814 | 6,814 | 13,628 | 34,068 |
| 5 | Leasehold Improvements | 2013 | SL | 15.58 | 1,652 | - | 1,652 | 106 | 106 | 212 | 1,440 |
| 6 | Leasehold Improvements | 2014 | SL | 15.58 | 69,858 | - | 69,858 | 4,484 | 4,484 | 8,968 | 60,890 |
| 7 | Leasehold Improvements | 2015 | SL | 15.58 | 902,311 | - | 902,311 | 57,909 | 57,914 | 115,823 | 786,488 |
| | | | | | <u>1,305,303</u> | - | <u>1,305,303</u> | <u>102,934</u> | <u>102,939</u> | <u>205,873</u> | <u>1,099,430</u> |

Part II, line 14

Part I, line 19 Part II, line 14

Part II, line 14

ALTMAN FOUNDATION

GUIDELINES

GENERAL INFORMATION

SPECIAL NOTE

Applying for foundation support is a time-consuming process on both sides. Before deciding to apply to the Foundation, please review our operating principles, funding limitations, and the objectives and results we seek as set out in this document. Thank you.

MISSION

To support programs and institutions that enrich the quality of life in New York City, with a particular focus on initiatives that help individuals, families, and communities benefit from the services and opportunities that will enable them to achieve their full potential.

VALUES

In carrying out our mission and making choices about how to invest our grantmaking dollars, we are guided in our selection process by our results-based orientation and by several overarching values that cut across program-area lines. These values are access, system-wide improvement, prevention and early intervention, self-sufficiency, the provision of high-quality services, and a focus on vulnerable populations.

The Altman Foundation:

- Seeks to increase meaningful **access** for New Yorkers to quality programs, institutions, and resources, both public and private;
- Encourages **system-wide improvement** and change while at the same time maintaining our tradition of direct service and the opportunity to test models in action;
- Prefers to support **preventive and early intervention strategies**;
- Supports efforts that help New Yorkers build and preserve **self-sufficiency**;
- Encourages innovation and funds programs and organizations that provide **high-quality services** based on best practices in their field and that exercise systematic efforts to track credible and meaningful results; and
- Has an interest in supporting programs that serve **vulnerable populations**, in particular children and youth from underserved communities, immigrants, and the elderly.

OPERATING PRINCIPLES

We define ourselves as investors and are interested in creating the highest possible levels of human gain for the grant dollars we have available. In that mode, we review proposals with the following questions in mind:

- What are the results from the project—meaning outcomes for participants—that provide the return on our investment?
- What are the chances those results will be achieved?
- Is this the best use of our money, given all alternatives before us?

To carry out our mission and achieve human gain, we make three types of investments:

1. **Investments in Direct Service**
In this area we support programs and projects that create human gain for people in our areas of focus. Historically, most of the grants in our portfolio have fallen into this category.
2. **Investments in Capacity Building**
At times we believe that making an investment in an organization so that it can achieve and sustain stronger gains for those served is the best investment we can make. In general, these investments are reserved for organizations with which we are already working.
3. **Investments in Systems Change**
At times we believe that the most pressing need is to make an improvement in the larger context of factors and forces that affect programs and organizations. The Foundation will allocate limited grant dollars to strategic policy, advocacy, and applied research initiatives that advance the Foundation's grantmaking priorities.

In addition, the Altman Foundation:

- Promotes connections among nonprofits, government agencies, and foundations, and other organizations doing complementary work in order to advance best practices and build knowledge within a given field;
- Seeks to leverage its limited resources by investing in issues, programs, or initiatives that have the potential to attract other funding sources, both public and private; and
- Initiates a limited number of program-related investments that provide low-cost loans to high-performing nonprofits with strong business models and the capacity to leverage other capital sources to advance effective programs or initiatives.

FUNDING LIMITATIONS

Please note the following:

As this year goes forward, we will continue our efforts to sustain high-performing current grantees seeking renewed funding.

While we have limited funds available for new, non-Foundation-initiated grantmaking, if, after reviewing our guidelines and grants lists, you believe that your work and the results you can already document achieving are directly and measurably in line with the Foundation's priorities, we want to know about what you are doing via Letter of Inquiry submitted through our grant portal, <https://altman.fluxx.io>. After receiving your submission, we will follow up with you if we need more information.

This does not apply to current Altman grantees, who should prepare full applications following the directions in the Proposal section on the grant portal.

General Limitations

- We fund only organizations that are recognized by the Internal Revenue Service as having 501(c)(3) status and that, as a general rule, are not private foundations.
- The Foundation does not make grants to individuals for any purpose.
- Grant requests from organizations and programs outside of the State of New York cannot be considered for funding. In addition, Altman Foundation grants focus almost without exception on organizations and programs within the five boroughs of New York City.
- As a general rule, the Foundation does not fund the following:
 - Bricks and mortar, other capital projects, or the purchase of capital equipment
 - Galas, benefits, and other fundraising events
 - Conferences or symposia that are not connected to current Altman grants
 - Concerts, theater or media performances, or art exhibitions that are not connected to current Altman grants
 - Instructional programming or artist-in-residence programs within the public schools or in correctional facilities
 - Budget deficits
 - Disease-specific projects, with the exception of initiatives aligned with our guidelines
 - Public universities or ongoing programs of private colleges and universities
 - Operating expenses and ongoing services of either public or voluntary hospitals

PROGRAM AREAS

• EDUCATION •

Given that high-quality education is a key driver of social and economic mobility and essential to equitable opportunity for all, the Foundation invests in organizations, programs, and projects that achieve results aligned with the two objectives outlined below. We give priority to initiatives in our areas of interest that expand or enhance multiple programs or schools across a system or network. As a general rule, we do not provide support for individual early childhood programs, or individual district schools, charter schools, public universities, or private colleges/universities. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Provide disadvantaged children and youth with more high-quality learning time through early childhood education and afterschool, summer, and expanded learning programs.

Results Sought:

- A. Significantly improve the social, emotional, and cognitive development of young children
- B. Measurably improve the academic performance of disadvantaged students
- C. Significantly improve students' ability to learn, work, and thrive in a digital society
- D. Demonstrably improve the effectiveness of leadership, faculty, and staff

Objective 2) Increase access to and success in high-quality post-secondary educational opportunities.

Results Sought:

- A. Significantly improve the social-emotional capacities of youth essential to college and career success
- B. Increase the number of underserved students enrolled in and completing college
- C. Increase the number of underserved students enrolled in and completing other high-quality post-secondary training and credentialing programs

• HEALTH •

Recognizing the critical importance of health and mental health care to individuals, families, and communities, the Foundation invests in programs or projects that achieve results aligned with the objectives outlined below. **Please note that the "Results Sought" reflect the specific outcomes of highest interest to us at this time.**

Objective 1) Expand access to health care for underserved, uninsured, or vulnerable populations or communities.

Results Sought:

- A. Increase in number of New Yorkers with adequate health insurance, particularly public and/or publicly subsidized health insurance
- B. Decrease in system- or community-wide barriers to health care or particular health services due to, e.g., immigration status, economic means, or provider capacity
- C. System- or community-wide increase in number of New Yorkers able to seek, navigate, and manage their health care and health insurance, either alone or with the help of a caregiver

(Priority will be given to projects aimed at systems-change or that are systemic or community-wide in scale or approach.)

Objective 2) Expand and strengthen quality out-of-hospital health care for the most underserved and vulnerable populations.

Results Sought:

- A. Increase in New Yorkers benefitting from primary care from a “Patient-Centered Medical Home” or comparable out-patient community-based provider
- B. Increase in New Yorkers benefitting from coordinated care, care management, and/or multi-disciplinary services and supports provided in an accessible community- or home-based setting, with a focus on programs targeting individuals living in poverty, with multiple chronic or behavioral health conditions, and/or who are transportation-challenged, including frail elders
- C. Increase in New Yorkers receiving services and supports aimed at reducing unnecessary hospital admissions, readmissions, or emergency room use, and successfully transitioning patients into out-of-hospital community-based care and care management

(Priority will be given to programs capable of being sustained with earned or public sector revenue, producing outcomes of long-term value in a short time, and/or of reducing cost to the system while maintaining or improving quality of care to the individual.)

Objective 3) Promote development and expansion of the workforce needed to support delivery of primary, community-based, and at-home health care and care management for the most vulnerable populations.

Results Sought:

- A. Increase in number of health care support workers with improved skills, enhanced job quality, and expanded pathways to advancement in the transforming health service sector
- B. Increase in number of workers newly trained for and successfully placed in entry-level and/or paraprofessional positions related to care coordination, care management, and community outreach

• **STRENGTHENING COMMUNITIES** •

The Foundation has an historic interest in ensuring that individuals and families living in the city have access to the services and resources they need to pursue and sustain successful lives. The Foundation invests in organizations, programs, and projects that achieve results aligned with the two objectives outlined below. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Build and preserve economic security and independence among low-income individuals and families.

Results Sought:

- A. Increase the number of low-income New Yorkers who are placed in and retain jobs that support economic independence
- B. Increase the number of low-income New Yorkers enrolled in public benefits that complement work
- C. Enable vulnerable populations (e.g., the elderly) to remain in their own homes and communities, with a focus on systemic efforts to address barriers to independence

Objective 2) Promote and sustain the availability of, and equitable access to, essential community resources needed to support stable, healthy communities, with an emphasis on systemic efforts.

Results Sought:

- A. Preserve and/or increase the quality and amount of affordable housing
- B. Preserve and/or increase the quality and availability of well-maintained parks and open spaces, and other essential community resources

• **CULTURAL ENGAGEMENT, YOUTH DEVELOPMENT, AND THE ARTS** •

The Foundation has had a longstanding interest in the arts, reflecting not only Benjamin Altman's personal commitment, but also the Foundation's recognition of the value of the arts in enriching the lives of New Yorkers and the city as a whole. The Foundation invests in organizations, programs, and projects that achieve results aligned with the three objectives outlined below. As a general rule, the programs we support take place outside of the public schools. **Please note that the "Results Sought" reflect the specific outcomes of interest to us.**

Objective 1) Promote access to arts and culture for all New Yorkers, with a special focus on people from underserved communities.

Results Sought:

- A. Increase sustained engagement and participation of underserved populations in high-quality programming at established arts and cultural organizations
- B. Strengthen the arts infrastructure in the city and build capacity at critical junctures for organizations providing high-quality arts and cultural programming

Objective 2) Promote positive youth development among underserved youth through engagement in arts, cultural, and other unique programs that provide sequential opportunities to participate over an extended period of time.

Results Sought:

- A. Significantly increase mastery of program-specific competencies and developmentally appropriate life skills
- B. Prepare participants to transition successfully to post-secondary and/or professional opportunities, including employment in the creative economy

• **SERVICES TO NOT-FOR-PROFITS** •

The Foundation provides support to organizations that achieve the following:

Results Sought:

- A. Significantly enhance the ability of key umbrella organizations to help their member or constituent agencies address critical community issues in the Foundation's areas of interest
- B. Measurably improve organizational effectiveness or program quality of community-based or other organizations working in the Foundation's areas of interest

June 2017

| Altman Foundation | | | | | | | |
|---|---|----------|----------|-------------|--------------------------------|--|-------------|
| Part XV Supplementary Information | | | | | | | |
| Line 3a Grants and Contributions Paid During the Year | | | | | | | |
| December 31, 2016 | | | | | | | |
| Grantee Name | Address | City | State | Postal Code | Foundation Status of Recipient | Project Title | Amount Paid |
| Alliance of Resident Theatres/New York, Inc. | 520 Eighth Avenue, Suite 319 | New York | New York | 10018 | PC | to help support the final phase of The Campaign for the A.R.T./New York Theatres, a campaign to secure affordable performance space for New York City's Off and Off Off Broadway theatres over the next 99 years | \$75,000 |
| Alvin Ailey Dance Foundation, Inc. | The Joan Weill Center for Dance, 405 West 55th Street | New York | New York | 10019-4402 | PC | to help support the Ailey School Scholarship Program and the Ailey Athletic Boys Program | 30,000 |
| American Ballet Theatre | 890 Broadway, 3rd Floor | New York | New York | 10003-1278 | PC | to help provide organizational capacity building support | 75,000 |
| American Museum of Natural History | Central Park West at 79th St. | New York | New York | 10024-5192 | PC | to provide capacity building support for the Museum's Education Department in preparation for the new Gilder Center for Science, Education and Innovation, scheduled to open in 2020 | 50,000 |
| Amigos del Museo del Barrio, Inc. | 1230 Fifth Avenue | New York | New York | 10029-4496 | PC | to provide capacity building support for El Museo's education programs, including the further implementation of the new cross-program initiative, The Curious Life | 50,000 |
| ArtsConnection, Inc. | 520 Eighth Avenue, Suite 321 | New York | New York | 10018 | PC | to help support Anticipating and Managing Organizational Change, ArtsConnection's strategic planning process | 25,000 |
| ArtsConnection, Inc. | 520 Eighth Avenue, Suite 321 | New York | New York | 10018 | PC | to help support the Take 5 and Teen Reviewers and Critics (TRaC) programs | 35,000 |
| ArtsPool | 138 South Oxford Street, Suite 2B | Brooklyn | New York | 11217 | PC | to provide capacity building support to help ArtsPool expand its administrative-infrastructure services to nonprofit arts organizations in the areas of finance, workforce administration, and compliance | 50,000 |
| Ballet Hispanico of New York, Inc. | 167 West 89th Street | New York | New York | 10024 | PC | for general operating support and as a final grant | 25,000 |
| Ballet Tech Foundation, Inc. | The Lawrence A. Wien Center for Dance & Theater, 890 Broadway | New York | New York | 10003 | PC | to help support citywide auditions for public elementary school children and provide tuition-free training, from beginner to pre-professional-level, for 675 students from underserved backgrounds | 35,000 |
| Barnard College | 3009 Broadway | New York | New York | 10027-6598 | PC | to help support the academic success and persistence of students from underserved communities at particularly vulnerable stages in the academic pipeline and build the capacity of staff, faculty advisors, and mentors working with first-generation, low income students | 65,000 |
| Bedford Stuyvesant Restoration Corporation | 1368 Fulton Street | Brooklyn | New York | 11216-2630 | PC | to help support a capacity building project to integrate Restoration's performance and data management systems for workforce development and asset building, and youth development | 75,000 |
| BoardAssist | 575 Madison Avenue, 10th Floor | New York | New York | 10022 | PC | to help nonprofit organizations in New York City identify and recruit engaged and productive new board members | 25,000 |
| Bottom Line, Inc. | 44 Court Street, Suite 300 | Brooklyn | New York | 11201 | PC | to help expand the College Success Program for New York City youth | 100,000 |
| Breakthrough New York, Inc. | 123 William Street, 4th Floor | New York | New York | 10038 | PC | to help support the College Bound Program | 50,000 |
| Bronx Children's Museum | P.O. Box 1381 | Bronx | New York | 10451-9998 | PC | to help provide capacity building funds to continue the development of the Bronx Children's Museum through its pre-launch stage | 50,000 |
| Bronx River Alliance, Inc. | One Bronx River Parkway | Bronx | New York | 10462 | PC | to help support the Bronx River Greenway Program, which helps to coordinate capital improvements and promote public use of the Greenway | 65,000 |

| Grantee Name | Address | City | State | Postal Code | Foundation Status of Recipient | Project Title | Amount Paid |
|---|---|----------|----------|-------------|--------------------------------|--|-------------|
| Brooklyn Academy of Music, Inc. | Peter Jay Sharp Building, 30 Lafayette Avenue | Brooklyn | New York | 11217-1486 | PC | to help create an effective "BAM Virtual Season" distance-learning program and enhance BAM's online study guides for K-12 teachers | 75,000 |
| Brooklyn Botanic Garden Corporation | 1000 Washington Avenue | Brooklyn | New York | 11225-1099 | PC | to help support the Garden Apprentice Program for middle and high school youth, as well as plans to assess benchmarks, explore college readiness programming, and support apprentices in learning to navigate the dynamics of race and power in the workplace | 50,000 |
| Brooklyn Kindergarten Society | 57 Willoughby Street, 4th Floor | Brooklyn | New York | 11201 | PC | to be divided as follows: \$75,000 each year to support BKS' early childhood centers, and an additional \$50,000 in year one to support the expansion of BKS' work with family child care providers and programming for adults and families in Weeksville Gardens | 125,000 |
| Brooklyn Public Library | 10 Grand Army Plaza | Brooklyn | New York | 11238-5619 | PC | to expand its First Five Years initiative to include multilingual programs and resources and to equip children's librarians with tools for improving access to information and services | 100,000 |
| Brooklyn Youth Chorus Academy, Inc. | 179 Pacific Street | Brooklyn | New York | 11201-6213 | PC | to support the Choral Music Education and Performance Program and launch a School Management and Communications Initiative | 75,000 |
| CAMBA, Inc. | 1720 Church Avenue, 2nd Floor | Brooklyn | New York | 11226 | PC | to help support the introduction of a stratified model of care coordination into CAMBA's Health Link Program for Medicaid enrollees with multiple, complex chronic illnesses, and allow CAMBA to employ and evaluate the more intensive and evidence-based "Critical Time Intervention" approach with high-acuity high-need clients in crisis or confronting significant social or functional barriers to health | 150,000 |
| CaringKind, The Heart of Alzheimer's Caregiving (formerly Alzheimer's Association, New York City Chapter) | 360 Lexington Avenue, 4th Floor | New York | New York | 10017 | PC | to be divided as follows: \$100,000 over two years to help support the Dementia Care Training Program for Professional Caregivers; and \$50,000 for a one-year capacity building grant | 50,000 |
| Catholic Charities Archdiocese of New York | 1011 First Avenue | New York | New York | 10022-4134 | PC | to provide capacity building, program development, and direct service support across CCANY's federated agencies | 140,000 |
| Catholic Charities Brooklyn and Queens | 191 Joralemon Street, 3rd Floor | Brooklyn | New York | 11201 | PC | to further the development and implementation of the Call Center and Walk-In Center programs as efficient points of entry to appropriate agency (and external) services, and an all-client database and IT-based referral tracking system designed to support an integrated approach to case management | 100,000 |
| Center for Family Life in Sunset Park | 443 39th Street | Brooklyn | New York | 11232 | PC | to help support positive growth and the development of underserved youth in Sunset Park through the acquisition of pre-professional arts skills in the Life Lines Community Arts Project afterschool and summer arts program | 50,000 |
| Center for New York City Neighborhoods, Inc. | 17 Battery Place, Suite 728 | New York | New York | 10004 | PC | to help support foreclosure prevention services for homeowners and foster more integrated systems to promote and preserve affordable homeownership in New York City | 100,000 |
| Center for Urban Community Services, Inc. | 198 East 121st Street | New York | New York | 10035 | PC | to help strengthen the infrastructure needed to maximize billing efficiency and achieve financial sustainability through Medicaid reimbursement for primary care services under newly executed Medicaid Managed Care contracts | 65,000 |

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| Children's Defense Fund - New York | 15 Maiden Lane, Suite 1200 | New York | New York | 10038 | PC | to support the development of a report and recommendations that could shape the City's approach to addressing the significant health needs of some of its most vulnerable children -- e.g., homeless children/youth and unaccompanied immigrant minors -- through school-based health programs | 50,000 |
| Children's Museum of Manhattan | The Tisch Building, 212 West 83rd Street | New York | New York | 10024 | PC | to provide capacity building support for a communications initiative to support current programmatic and long-term institutional goals | 40,000 |
| Citizens' Committee for Children of New York, Inc. | 14 Wall Street, Suite 4E | New York | New York | 10005 | PC | to help support the Campaign for Children (C4C) | 20,000 |
| Citizens' Committee for Children of New York, Inc. | 14 Wall Street, Suite 4E | New York | New York | 10005 | PC | to provide general operating support for CCC's work on behalf of New York City's children and youth | 50,000 |
| Citizens Union Foundation, Inc. | 299 Broadway, Suite 700 | New York | New York | 10007-1976 | PC | to provide general support for Gotham Gazette and a \$10,000 one-time capacity building grant to help achieve the goals of the strategic plan, particularly around branding and the launch of a new website | 15,000 |
| City Futures, Inc. | 120 Wall Street, 20th Floor | New York | New York | 10005 | PC | to provide capacity building support to help influence workforce policy | 50,000 |
| City Limits | 394 Broadway, 4th Floor | New York | New York | 10013 | PC | to build a Customer Relationship Management (CRM) database and communication program that fuels the conversion of engaged readers to paid members and donors, expands outreach to new audiences and communities, and improves impact through targeted community engagement | 40,000 |
| Civic Consulting USA | 15 Broad Street 1708 | New York | New York | 10005 | PC | to support refinement of a client-service model focused on public-sector agency transformation, including development and testing of a toolkit to be used in assessing the transformation readiness and capacity of its agency clients | 50,000 |
| Classroom, Inc. | 245 Fifth Avenue, 20th Floor | New York | New York | 10016 | PC | to help support Classroom, Inc.'s programming in inner-city Catholic schools | 75,000 |
| Columbia University in the City of New York/Miriam and Ira D. Wallach Art Gallery | 926 Schermerhorn, MC 5502, 1190 Amsterdam Avenue | New York | New York | 10027 | PC | to renew capacity building support to create a network of leading Manhattanville artists and community stakeholders and develop and pilot community-based arts and educational programming for the Wallach Gallery's new West Harlem location | 24,000 |
| Community Health Care Association of New York State | 111 Broadway, Suite 1402 | New York | New York | 10006 | PC | to support capacity building and planning aimed at strengthening its Center for Primary Care Informatics and related data-analytics support services for member clinics as they move toward the creation of Independent Practice Associations, and generally prepare for an era of value-based payment within NYS Medicaid | 50,000 |
| Cool Culture, Inc. | 80 Hanson Place, Suite 605 | Brooklyn | New York | 11217 | PC | to help support capacity-building activities to refine core competencies for Cool Culture staff, create new performance evaluation tools, and launch a professional development series to further integrate leadership development, outcomes-based thinking and collaboration across departments into the organization's culture and practice | 45,000 |
| Cooper-Hewitt, National Design Museum, Smithsonian Institution | 2 East 91st Street | New York | New York | 10128-0669 | PC | to help support DesignPrep, the Cooper Hewitt's design education and career preparation program for underserved New York City high school students | 75,000 |

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| Cornelia Connelly Center for Education | Cornelia Connelly Center, 220 East 4th Street | New York | New York | 10009 | PC | to provide general operating support for the Cornelia Connelly Center middle school and graduate support services | 50,000 |
| Council on the Environment, Inc. dba GrowNYC | 100 Gold Street, Suite 3300 | New York | New York | 10038 | PC | to help support their work on behalf of green spaces and community gardens | 35,000 |
| Cristo Rey New York High School | 112 East 106th Street | New York | New York | 10029 | PC | to provide general support | 85,000 |
| Downtown Community Television Center, Inc. | 87 Lafayette Street | New York | New York | 10013-4410 | PC | to support DCTV's free long-term intensive media arts training for underserved NYC youth | 45,000 |
| Early Steps, Inc. | 540 East 76th Street | New York | New York | 10021 | PC | to support outreach and a program of services aimed at helping families of color gain access to an independent school education for their children entering Kindergarten or First Grade, and to support successful fundraising and constituency development in this 30th Anniversary year | 35,000 |
| East Harlem Employment Service Inc. | 240 East 123rd Street, 3rd Floor | New York | New York | 10035-2038 | PC | to increase the performance analysis and management capabilities of STRIVE - New York | 37,500 |
| East Harlem Tutorial Program, Inc. | 2050 Second Avenue | New York | New York | 10029 | PC | to provide general support for EHTP's continuum of out-of-school time and college success programming for underserved children and youth | 85,000 |
| Education Clinic, Inc. | 355 8th Avenue, Suite 2-D | New York | New York | 10001 | PC | to provide general operating support for the Clinic's year-round academic enrichment programs for underserved children and youth | 30,000 |
| Entrepreneurial Ventures in Education (dba Summer Advantage USA) | 1001 Marina Drive, Suite 410 | Quincy | Massachusetts | 02171 | PC | to provide support for a free, high-quality summer learning experience for children who attend New York City public schools in Brooklyn and Queens, including Zone 126 | 75,000 |
| ExpandEDSchools | 1440 Broadway, 16th Floor | New York | New York | 10018 | PC | to support the development of a coordinated and sustainable summer learning system in NYC and document and disseminate best practices of summer learning | 50,000 |
| Federation of Protestant Welfare Agencies, Inc. | 40 Broad Street, 5th Floor | New York | New York | 10004 | PC | to support production of a report presenting the perspectives and experiences of member agencies on the impact of a number of city policies related to economic equity, and to support continued development and evaluation of educational programs within the Center for Leadership Development | 75,000 |
| Figure Skating in Harlem, Inc. | 361 West 125th Street, 4th Floor | New York | New York | 10027 | PC | to provide support for the New York programs and activities of this sports and academic program | 50,000 |
| Friends of the High Line, Inc. | The Diller - von Furstenberg Building, 820 Washington Street | New York | New York | 10014 | PC | to provide capacity building support for its Community Programs and outreach efforts to engage its neighbors | 50,000 |
| Fund for the City of New York, Inc. | 121 Sixth Avenue, 6th Floor | New York | New York | 10013 | PC | to provide general operating support for the Student Success Network, a project of the Partner Program of the Fund for the City of New York | 85,000 |
| Fund for the City of New York, Inc. | 121 Sixth Avenue, 6th Floor | New York | New York | 10013 | PC | to launch a pooled fund to help support the private-match requirements of community-based organizations participating in the federally funded NYC Connections to Care program, a program aimed at developing and integrating mental illness prevention, screening, and treatment capacity into the work of fifteen community organizations | 200,000 |
| George Jackson Academy | 104 Saint Mark's Place | New York | New York | 10009 | PC | to provide general operating support for this model elementary and middle school program for low-income boys in New York City | 80,000 |

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| Gina Gibney Dance | 280 Broadway | New York | New York | 10007 | PC | to provide capacity building support for a Marketing, Data and Transaction Initiative that will align institutional identity and streamline data collection and financial transaction systems to support fundraising efforts and financial and operational management of classes, rentals, programs and performances | 50,000 |
| Goddard Riverside Community Center | 593 Columbus Avenue | New York | New York | 10024 | PC | to be divided as follows: \$125,00 each year help increase the number of NYC students entering college and attaining degrees through direct counseling and support services and professional development for counselors; and an additional one-time contribution of \$50,000 in the first year to support the new position of Options Policy Associate | 175,000 |
| Good Shepherd Services | 305 Seventh Avenue, 9th Floor | New York | New York | 10001 | PC | to help support their network of afterschool and summer programs located in East New York and Bedford Stuyvesant | 100,000 |
| Graduate NYC! | 16 Court Street, 4th Floor | Brooklyn | New York | 11201 | PC | to support NYC College Line, New York City's digital resource designed to help high school and college students, as well as their college counselors, navigate college application, matriculation, and completion | 40,000 |
| Grand Street Settlement, Inc. | 80 Pitt Street | New York | New York | 10002 | PC | to provide capacity building support following the completion of a strategic plan | 50,000 |
| Groundswell Community Mural Project, Inc. | 540 President Street #1A | Brooklyn | New York | 11215 | PC | to help support afterschool programs and summer intensives, which provide underserved and economically disadvantaged youth the opportunity to create public art within their communities and develop 21st century skills | 30,000 |
| Harlem Academy | 1330 Fifth Avenue | New York | New York | 10026 | PC | to provide general support for this academically rigorous independent school | 60,000 |
| Harlem Educational Activities Fund, Inc. | 2090 Seventh Avenue, 10th Floor | New York | New York | 10027 | PC | to provide general operating support for HEAF | 40,000 |
| Harlem RBI, Inc. | 1991 Second Avenue | New York | New York | 10029 | PC | to continue the organization's services in East Harlem and the South Bronx, including efforts to strengthen its high-school and college access programming | 75,000 |
| Hot Bread Kitchen | 1590 Park Avenue | New York | New York | 10029 | PC | to provide capacity-building support to help strengthen and expand Hot Bread Kitchen's bakery operations | 45,000 |
| Hudson River Community Sailing | P.O. Box 20677 | New York | New York | 10011 | PC | to strengthen engagement in and outcomes from its Youth Development Programs by building counseling capacity | 30,000 |
| Human Services Council of New York City, Inc. | 130 East 59th Street | New York | New York | 10022 | PC | to create a value-based payment roadmap for the human services sector, charting a path towards sustainability, viability, and quality in the coming managed care system | 100,000 |
| Hunger Free America, Inc. (formerly New York City Coalition Against Hunger, Inc.) | 50 Broad Street, Suite 1103 | New York | New York | 10004 | PC | to provide a final grant for general operating support of anti-poverty/anti-hunger work -- including community organizing, public education, promotion of access to benefits and services, policy advocacy, and the management of internship and volunteer programs | 25,000 |
| iMentor | 30 Broad Street, 9th Floor | New York | New York | 10004 | PC | to support iMentor's New York City program designed to create pathways for low-income youth to college enrollment, persistence, and completion | 100,000 |

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| Inner-City Scholarship Fund, Inc. | 1011 First Avenue, Suite 1800 | New York | New York | 10022-4134 | PC | to help support the Job Opportunities Program (JOP) program, which provides job-readiness workshops, college-preparation mentorships, and summer internships for highly motivated, low-income high school juniors and seniors from inner-city Catholic high schools | 35,000 |
| International Center of Photography | 1114 Avenue of the Americas | New York | New York | 10036 | PC | to support need-based scholarships and the retention and advancement of students receiving these scholarships within the Teen Academy and Imagemakers programs, and to help support early-stage planning and exploration of community programs in and around the neighborhood of ICP's new museum space on the Bowery | 50,000 |
| Jazz at Lincoln Center, Inc. | 3 Columbus Circle, Suite 1200 | New York | New York | 10019 | PC | to help support the Middle School Jazz Academy | 75,000 |
| Jewish Association for Services for the Aged | 247 West 37th Street | New York | New York | 10018 | PC | as a final grant to help increase program and service capacity through the development and implementation of a Universal Client Record System (UCRS) | 75,000 |
| Jewish Board of Family and Children's Services, Inc. | 135 West 50th Street, 6th Floor | New York | New York | 10020 | PC | to help support the Value-Based Payment Pilot Project, an 18-month project to plan, identify, implement, and evaluate the organizational systems and changes needed to transition to value-based payment under NYS Medicaid, while developing a model and a learning tool/blueprint for change to be shared across the sector and statewide | 150,000 |
| Jewish Community Council of Greater Coney Island, Inc. | 3001 West 37th Street | Brooklyn | New York | 11224-1479 | PC | to provide support for JCCGCI capacity-building activities aimed at strengthening its programs and the services it provides to vulnerable populations | 50,000 |
| Jewish Home Lifecare Manhattan | 120 West 106th Street | New York | New York | 10025 | PC | to help support the Geriatric Career Development (GCD) program's academic, college, and workforce preparation for youth | 60,000 |
| JobsFirstNYC | 11 Park Place, Suite 1602 | New York | New York | 10007 | PC | to help support and expand the work of the Lower East Side Employment Network (LESEN) | 60,000 |
| Lawyers Alliance for New York | 171 Madison Avenue, 6th Floor | New York | New York | 10016 | PC | to provide business and transactional legal services to nonprofits, including those working in areas of mutual interest to the Altman Foundation and Lawyers Alliance | 30,000 |
| LEAP, Inc., dba Brooklyn Workforce Innovations | 621 DeGraw Street | Brooklyn | New York | 11217 | PC | to help BWI enhance its marketing, communications, and outreach to reach the intended targets for its training programs | 50,000 |
| Legal Outreach, Inc. | 36-14 35th Street | Long Island City | New York | 11106 | PC | to help support the College Bound Program | 100,000 |
| Lincoln Center for the Performing Arts, Inc. | 70 Lincoln Center Plaza | New York | New York | 10023-6583 | PC | to help support Phase 3 of the Lincoln Center Local: Free Screenings, designed to bring digitally streamed Lincoln Center performance content to library branches in all five boroughs of New York City | 50,000 |
| Lincoln Square Neighborhood Center | 250 West 65th Street | New York | New York | 10023 | PC | to provide a final year of capacity building support for the Youth Program during this transitional year of integration with Goddard Riverside Community Center | 50,000 |
| Madison Square Boys & Girls Club, Inc. | 733 Third Avenue, 2nd Floor | New York | New York | 10017 | PC | for assessments and capacity building to help plan for constituent building, community partnerships, and educational programs in advance of the development of a new Clubhouse in North Central Harlem | 50,000 |
| Madison Square Boys & Girls Club, Inc. | 733 Third Avenue, 2nd Floor | New York | New York | 10017 | PC | to help support the Explorers Academy afterschool program and efforts to refine and evaluate instructional approaches to preventing summer learning loss | 100,000 |

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| Natural Areas Conservancy | c/o NYC Parks, Arsenal North - 1234 Fifth Avenue | New York | New York | 10029 | PC | to further develop and promote web-based interactive tools that will help encourage more New Yorkers to explore New York City's forests, wetlands, meadows, and grasslands | 50,000 |
| Neighborhood Housing Services of New York City, Inc. | 307 West 36th Street, 12th Floor | New York | New York | 10018 | PC | to help at least 1,100 low- and moderate-income families continue to live in New York City, through the sustainable acquisition or preservation of homeownership | 75,000 |
| Neighborhood Trust Financial Partners, Inc. | 1112 St. Nicholas Avenue, 4th Fl | New York | New York | 10032 | PC | to help support the Financial Empowerment Integration Model | 60,000 |
| New Heights Youth, Inc. | 2472 Broadway, PMB 112 | New York | New York | 10025 | PC | to help support College Bound, a year-round high school and college preparatory program | 40,000 |
| New York City Employment and Training Coalition, Inc. | 121 Avenue of the Americas, 6th Floor | New York | New York | 10013 | PC | to provide support for the NYCETC's programs, communications, and planning | 35,000 |
| New York City Youth Funders | c/o Janet Kelly 1440 Broadway, 16th Floor | New York | New York | 10018 | PC | to help support the work of the New York City Youth Funders | 5,000 |
| New York Early Childhood Professional Development Institute | 16 Court Street, 31st Floor | Brooklyn | New York | 11241 | PC | to help sustain the position of Deputy Executive Director | 125,000 |
| New York Foundation | 10 East 34th Street, 10th Fl | New York | New York | 10016 | PF | to support the Neighborhoods First Fund for Community Planning donor collaborative's efforts to improve community-based planning capacity in neighborhoods that are likely to be considered for rezoning initiatives | 100,000 |
| New York Interschool Association, Inc. | 260 West 78th Street, Suite 706 | New York | New York | 10024 | PC | to support the Faculty Diversity Search program, which identifies and recruits faculty and administrators of color and other underrepresented minorities to teach and work in the New York City independent schools | 45,000 |
| New Yorkers for Parks | The Arthur Ross Center for Parks and Open Spaces, 55 Broad Street, 23rd Floor | New York | New York | 10004 | PC | to help foster robust advocacy connections with local parks groups and undertake several projects to bolster the quality, relevance, and impact of its communications tools and research | 60,000 |
| Nonprofit Coordinating Committee of New York, Inc. | 135 West 36th Street, 15th Floor | New York | New York | 10018 | PC | to leverage NPCC's new leadership to improve and expand programs, services, and benefits, thereby strengthening and improving New York nonprofits and their management practices | 30,000 |
| Nonprofit Coordinating Committee of New York, Inc. | 135 West 36th Street, 15th Floor | New York | New York | 10018 | PC | to support the development and roll out of a comprehensive three-year strategic plan, aimed at strengthening NPCC and, thereby, the nonprofit sector in the NYC metropolitan region | 60,000 |
| Notre Dame School of Manhattan | 327 West 13th Street | New York | New York | 10014 | PC | to create a Facilitator of Mission and Board Development position responsible for identifying and bringing on new board members and strengthening strategic planning and fundraising | 50,000 |
| NYC Salt, Inc. | 214 West 29th Street, Suite 1401 | New York | New York | 10001 | PC | to provide capacity building support for long-term sustainability and growth in order to connect more low-income, immigrant NYC youth to high-quality pre-professional arts instruction and post-secondary and career opportunities | 25,000 |
| Ocean Bay Community Development Corporation | 434 Beach 54th Street | Arverne | New York | 11692 | PC | to help support the addition of a Deputy Director to OBCDC's management team | 60,000 |
| Orchestra of St. Luke's/St. Luke's Chamber Ensemble, Inc. | 450 West 37th Street, Suite 502 | New York | New York | 10018 | PC | to help support the growth and programming of the Youth Orchestra of St. Luke's | 35,000 |
| Paraprofessional Healthcare Institute, Inc. | 400 East Fordham Road, 11th Floor | Bronx | New York | 10458 | PC | to help support a targeted initiative to demonstrate the value of home care workers to the clinical outcomes prioritized by NYS's Medicaid strategy—and to enhance the training, roles, and funding needed to support this effort | 100,000 |

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| Park Avenue Armory | 643 Park Avenue | New York | New York | 10065 | PC | to provide capacity building support for the execution and expansion of complex unconventional "only at the Armory" productions and programming | 75,000 |
| Peter Westbrook Foundation, Inc. | G.P.O. Box 7554 | New York | New York | 10116 | PC | to help support fencing and academic enrichment programs | 35,000 |
| Philanthropy New York, Inc. | 1500 Broadway, 7th Floor | New York | New York | 10036 | PC | to help provide general support | 17,350 |
| Phipps Neighborhoods, Inc. | 902 Broadway, 13th Floor | New York | New York | 10010 | PC | to support the Phipps Neighborhood Education and Learning Initiative | 50,000 |
| Power of Two | 400 Rockaway Avenue, Suite 2 | Brooklyn | New York | 11212 | PC | to provide general operating support for Power of Two to serve at least 500 infants and their primary caregivers in Brownsville and East New York, Brooklyn through a short-term, evidence-based home visiting program, Attachment and Bio-behavioral Catch-Up (ABC) | 75,000 |
| Pratt Institute | 200 Willoughby Avenue | Brooklyn | New York | 11205 | PC | to support the capacity building efforts of Pratt's Center for Art, Design, and Community Engagement K-12 as it works to increase access to art and design education for young people from underserved communities and evaluate the impact of those efforts | 35,000 |
| Prep for Prep | 328 West 71st Street | New York | New York | 10023 | PC | to provide capacity building support to help create a dedicated communications team and expand and improve Prep's overall communication efforts | 75,000 |
| Publicolor, Inc. | 20 West 36 Street 9th Floor | New York | New York | 10018 | PC | to help support the COLOR Club, Next Steps and Summer Design Studio programs | 45,000 |
| Queens Community House, Inc. | 108-25 62nd Drive | Queens | New York | 11375 | PC | to promote recognition, across QCH sites and programs, of a cohesive QCH brand that is representative of its values, vision, approach, outcomes, and integrated network | 50,000 |
| Queens Council on the Arts, Inc. | 37-11 35th Avenue | Astoria | New York | 11101 | PC | to help support the High School to Art School (HS2AS) initiative | 25,000 |
| Queens Museum of Art (dba Queens Museum) | New York City Building - Flushing Meadows Corona Park | Queens | New York | 11368-3398 | PC | to help support the Art and Literacy Program for New New Yorkers | 100,000 |
| Read Alliance | 80 Maiden Lane, 11th Floor | New York | New York | 10038 | PC | to provide general support for READ's early literacy work in New York City | 100,000 |
| Red Hook Initiative | 767 Hicks Street | Brooklyn | New York | 11231 | PC | to develop and launch RHI Institute, a comprehensive professional development program to give frontline staff the time and training necessary to advance within the organization | 60,000 |
| ReServe Elder Service, Inc. | 633 Third Avenue, 6th Floor | New York | New York | 10017 | PC | to pursue strategies and partnerships that will help to ensure the sustainability of ReServe's Dementia Care Coach (DCC) program | 40,000 |
| Rocking the Boat, Inc. | 812 Edgewater Road | Bronx | New York | 10474 | PC | \$50,000 each year to provide general operating support for this youth development organization; and an additional \$12,000 in the first year to upgrade the current data system, migrate data, and train staff | 50,000 |
| Row New York, Inc. | 10-27 46th Avenue, Suite 101 | Long Island City | New York | 11101 | PC | to provide general operating support for rowing and academic programs in New York City | 60,000 |
| Saint Ignatius School/New York Nativity | 740 Manida Street | Bronx | New York | 10474 | PC | As a final grant to help support the salary of a full-time Advancement Associate | 25,000 |
| SeaChange Capital Partners, Inc. | 1385 Broadway, 23rd Floor | New York | New York | 10018 | PC | to renew participation in New York Merger, Acquisition, and Collaboration Fund (NYMAC), which encourages and supports mergers, acquisitions, and other types of formal, long-term collaborations between nonprofit organizations serving New York City | 50,000 |

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| Service Program for Older People, Inc. | 302 West 91st Street | New York | New York | 10024 | PC | to help support development and participation in a strategic partnership with Mount Sinai Visiting Doctors to deliver coordinated physical and behavioral health care to homebound seniors | 50,000 |
| Sphinx Organization, Inc. | 400 Renaissance Center Suite 2550 | Detroit | Michigan | 48243 | PC | to provide a planning grant to support and grow the capacity and sustainability of the Sphinx Organization's New York partnerships, programming, and impact | 25,000 |
| Sponsors for Educational Opportunity, Inc. | 55 Exchange Place, Suite 601 | New York | New York | 10005 | PC | to continue support for SEO's "OpportunityX2" expansion initiative in New York | 100,000 |
| Stonewall Community Development Corp. | 276 First Ave 8G | New York | New York | 10009 | PC | to conduct a citywide survey and generate a comprehensive market profile report on the needs of Lesbian Gay Bisexual, Transgendered and Questioning (LGBTQ) seniors throughout the five boroughs in regards to affordable housing, aging in place, support networks and related health, financial and social services provision and coordination | 15,000 |
| Storefront Academy Harlem | 70 East 129th Street | New York | New York | 10035 | PC | to provide general operating support for the Storefront Academy Harlem school | 50,000 |
| Student/Sponsor Partnership, Inc. | 424 Madison Avenue, Suite 1002 | New York | New York | 10017 | PC | to help support services to students, mentors, and schools | 50,000 |
| Summer on the Hill | at Horace Mann School 4662 Tibbett Avenue | Bronx | New York | 10471 | PC | to provide academic enrichment and counseling for promising underserved students from Manhattan and the Bronx | 40,000 |
| Sunnyside Community Services, Inc. | 43-31 39th Street | Sunnyside | New York | 11104 | PC | to support the Spanish Language Home Health Aid (HHA) Training Program and to help support capacity-building efforts to develop a "value proposition" for home health care within a continuum of care for seniors | 85,000 |
| Support Center for Nonprofit Management, Inc. | 42 Broadway, 20th Floor | New York | New York | 10004 | PC | to expand the Support Center's consulting work, including nonprofit restructuring, and enhance its executive transition work | 50,000 |
| Supportive Housing Network of New York, Inc. | 247 West 37th Street, 18th Fl. | New York | New York | 10018 | PC | to provide support for policy and advocacy efforts to address challenges and maximize opportunities arising from New York State's interest in supportive housing as one strategy to strengthen health outcomes and reduce health costs in the care of homeless and recently homeless Medicaid enrollees | 35,000 |
| Teachers College, Columbia University | 525 West 120th Street Box 125 | New York | New York | 10027-6696 | PC | to help diversify and strengthen faculty and administrators of color in independent and nonpublic New York City schools through participation in the Klingenstein Center master's degree programs and post-participation in an online coaching program | 60,000 |
| The 52nd Street Project, Inc. | 789 Tenth Avenue | New York | New York | 10019 | PC | to build fundraising, marketing, and programming capacity | 35,000 |
| The Association for Neighborhood & Housing Development, Inc. | 50 Broad Street, Suite 1402 | New York | New York | 10004 | PC | to help promote the creation and preservation of affordable housing in New York City | 70,000 |
| The B.E.L.L. Foundation, Inc. | 1460 Broadway, Suite 9023 | New York | New York | 10036 | PC | to help support a BELL Technical Assistance Summer Program partnership with the New York City Department of Education (DOE) and the Office of Community Schools (OCS) serving 390 scholars at five community schools in NYC | 100,000 |
| The Boys' Club of New York | 287 East 10th Street | New York | New York | 10009 | PC | to help support the Independent School Placement Program | 60,000 |
| The Bronx Museum of the Arts | 1040 Grand Concourse | Bronx | New York | 10456-3999 | PC | to help upgrade and integrate the Bronx Museum's digital technologies | 50,000 |

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| The Carter Burden Center for the Aging, Inc. | 1484 First Avenue | New York | New York | 10075 | PC | to help develop a strategy and business case for sustainability of the Metro East 99th Street Hybrid Social Adult Day Program | 75,000 |
| The Center for Arts Education, Inc. | 266 West 37th Street, 9th Floor | New York | New York | 10018 | PC | to help develop and launch ArtsEdMap, an easy-to-use, multi-lingual mapping tool, designed to provide access to New York City public schools' arts-in-education data and information; and toward the first phase of a collective impact initiative to expand arts learning in one school district in Central Brooklyn | 75,000 |
| The Cooper Union for the Advancement of Science and Art | 30 Cooper Square | New York | New York | 10003-7120 | PC | to support the Saturday and Outreach Programs for New York City high school students | 50,000 |
| The East Harlem School at Exodus House | 309 East 103 Street | New York | New York | 10029 | PC | to provide general operating support | 75,000 |
| The Financial Clinic | 115 West 30th Street, Suite 700 | New York | New York | 10001 | PC | to help develop and formalize a capacity building toolkit to help workforce development organizations to integrate financial security building into their programs and staff competencies, and to help the cohort of WorkBOOSTNYC agencies jointly identify and address systemic to barriers to financial stability and career mobility | 50,000 |
| The Foundation Center | 32 Old Slip 24th Floor | New York | New York | 10005 | PC | to provide general support for the Foundation Center's work in New York City | 5,000 |
| The Grace Opportunity Project | 50 Cooper Square, 3rd Floor | New York | New York | 10003 | PC | to provide general operating support for the GO Project's year-round educational programming for 675 academically struggling public school students in grades K-8 | 75,000 |
| The HOPE Program Inc. | One Smith Street | Brooklyn | New York | 11201 | PC | to help support a job placement program to help New Yorkers living in poverty achieve economic self-sufficiency | 40,000 |
| The Horticultural Society of New York, Inc. | 148 West 37th Street, 13th Floor | New York | New York | 10018 | PC | to help support a transitional employment program that assists Neighborhood Plaza Partnership sites with horticultural improvements and plaza maintenance | 50,000 |
| The Institute for Family Health | 2006 Madison Avenue | New York | New York | 10035 | PC | to support the refinement of a protocol to screen for adverse social conditions in a primary care setting, and to initiate analysis of referrals and progress made in order to assess impact on patient status and, ultimately, on patient health | 65,000 |
| The Mayor's Fund to Advance New York City | 253 Broadway, 6th Floor | New York | New York | 10007 | PC | to help support the delivery of the Health Access for All program to low-income uninsured immigrant New Yorkers and, specifically, to support an extension of outreach and enrollment efforts by community-based organizations | 50,000 |
| The Metropolitan Museum of Art | 1000 Fifth Avenue | New York | New York | 10028-0198 | PC | to help bring high-quality arts and cultural activities to underserved P-12 NYC students by training educators across disciplines, integrating new training strategies, and documenting changes in classroom practice | 50,000 |
| The Museum of the City of New York, Inc. | 1220 Fifth Avenue | New York | New York | 10029 | PC | to help provide capacity-building support for MCNY's expanded digital department and for the continuing improvement of the museum's website | 100,000 |
| The New York Academy of Medicine | 1216 Fifth Avenue | New York | New York | 10029-5293 | PC | to conduct and report on the results of an applied research study examining best practices, recurrent challenges, and lessons learned in an effort to forge sustainable partnerships between hospitals/health systems and community-based organizations/providers | 50,000 |

| Grantee Name | Address | City | State | Postal Code | Foundation Status of Recipient | Project Title | Amount Paid |
|--|--|----------|----------|-------------|--------------------------------|--|-------------|
| The New York Botanical Garden | 2900 Southern Blvd. | Bronx | New York | 10458-5126 | PC | to help support the Children's Education program | 75,000 |
| The New York Community Trust | 909 Third Avenue 22nd Floor | New York | New York | 10022 | PC | to help support the Hive Digital Media Learning Fund in the New York Community Trust and the transition of the Fund's grantmaking program to the Mozilla Foundation | 50,000 |
| The New York Community Trust | 909 Third Avenue 22nd Floor | New York | New York | 10022 | PC | to help support the New York City Workforce Development Fund | 75,000 |
| The New York Community Trust | 909 Third Avenue 22nd Floor | New York | New York | 10022 | PC | to help support the Fund for New Citizens' Capacity Building Initiative for Immigrant Organizations | 50,000 |
| The New York Immigration Coalition, Inc. | 131 West 33rd Street Suite 610 | New York | New York | 10001 | PC | to provide general support for services and advocacy on behalf of immigrant communities and member agencies | 150,000 |
| The New York Opportunity Network, Inc. dba The Opportunity Network | 85 Broad Street, 6th Floor | New York | New York | 10004 | PC | for general operating support to help provide low-income public high school students access career development training, professional networks, and competitive colleges | 75,000 |
| The New York Public Library | Stephen A. Schwarzman Building - Fifth Avenue & 42nd Street | New York | New York | 10018-2788 | PC | to help support programming at the NYPL's Adult Learning Centers (ALCS) | 100,000 |
| The Partnership for Inner-City Education | 1011 First Avenue, 18th Floor | New York | New York | 10022 | PC | to support the continued implementation of a comprehensive academic plan across the Partnership's network of six schools (Pre-K through Grade 8) in Harlem and the South Bronx | 75,000 |
| The Posse Foundation, Inc. | 14 Wall Street, Suite 8A-60 | New York | New York | 10005 | PC | to help support the Posse New York STEM Posse Program | 50,000 |
| The Studio Museum in Harlem | 144 West 125th Street | New York | New York | 10027 | PC | To help revitalize the Museum's technology infrastructure and website with the goal of strengthening communications, content delivery, and audience engagement as the Museum prepares for the temporary re-siting of its programming (the InHARLEM project) and the creation of its new building | 100,000 |
| Third Street Music School Settlement | 235 East 11th Street | New York | New York | 10003 | PC | to develop and launch a comprehensive Sound Engineering and Production program offering students a vital new resource to enhance the production, learning, and sharing of music | 30,000 |
| United Jewish Appeal-Federation of Jewish Philanthropies of New York, Inc. | 130 East 59th Street | New York | New York | 10022 | PC | to enable UJA-Federation of New York, Federation of Protestant Welfare Agencies (FPWA), and Catholic Charities Archdiocese of New York to offer a program of learning labs and customized technical assistance to nonprofit agencies in their networks that are confronted with significant challenges, opportunities, and uncertainties related to New York State's Medicaid redesign | 150,000 |
| United Neighborhood Houses of New York, Inc. | 70 West 36th Street, 5th Floor | New York | New York | 10018-8007 | PC | to provide \$50,000 in general operating support for policy and advocacy work in priority areas of interest or concern to NYC's settlement houses and for its portfolio of member services, as well as an additional one-time \$25,000 to develop and launch new programs or advocacy initiatives, build stronger and more effective member services, and institute a system to document impact in both arenas | 75,000 |
| United Way of New York City | 205 East 42 Street Attention: Sandy Maskell, Change Capital Fund | New York | New York | 10017 | PC | to help support the New York City Change Capital Fund donor collaborative | 250,000 |

| Grantee Name | Address | City | State | Postal Code | Foundation Status of Recipient | Project Title | Amount Paid |
|--|---------------------------------------|----------|----------|-------------|--------------------------------|---|--------------|
| Urban Pathways, Inc. | 575 Eighth Avenue 16th Floor | New York | New York | 10018 | PC | to help support the implementation and evaluation of a Medical Wellness Program that introduces basic health education and monitoring on site at its supportive housing residences, to connect residents to community-based health care and by so doing, engage clients in primary care and reduce reliance on emergency services | 50,000 |
| Volunteer Consulting Group, Inc. | 4 West 104th Street # LB | New York | New York | 10025 | PC | to help support transition of VCG Governance Matters's business, service and staffing model to one led by the New York Council of Nonprofits (NYCON)'s administrative and service capacity, bolstered by a the addition of a full-time Program Coordinator | 75,000 |
| Wave Hill Incorporated | 675 West 252nd Street | Bronx | New York | 10471-2899 | PC | to help support a Strategic Review and Planning process that will result in a Strategic Plan, Site Utilization Plan, and Digital Engagement Strategy | 50,000 |
| Whitney Museum of American Art | 99 Gansevoort Street | New York | New York | 10014 | PC | to provide capacity building support for the Whitney's community programs | 25,000 |
| Woodlawn Conservancy, Inc. | 4199 Webster Avenue | Bronx | New York | 10470 | PC | to help support the Woodlawn Cemetery training program in stone masonry and historic preservation | 40,000 |
| Workforce Professionals Training Institute | 11 Park Place, Suite 701 | New York | New York | 10007 | PC | to provide support for training, consulting, and field-building and communications initiatives to strengthen workforce development programs, organizations, and systems in New York City | 75,000 |
| Year Up, Inc. | 85 Broad Street, 6th Floor | New York | New York | 10004 | PC | to support Year Up New York's core professional training and internship program for disconnected young adults | 100,000 |
| YMCA of Greater New York | 5 West 63rd Street, 6th Floor | New York | New York | 10023 | PC | to help support the South Bronx and Jamaica Y Roads Centers, which improve education and employment opportunities for out-of-school and out-of-work youth | 75,000 |
| Youth Development Institute | 121 Avenue of the Americas, 6th Floor | New York | New York | 10013-1590 | PC | to help support the Career Internship Network | 35,000 |
| | | | | | | | \$10,953,850 |
| | | | | | | Matching Grants - See Statement 18A | 149,583 |
| | | | | | | | \$11,103,433 |

**Altman Foundation
Matching Grants Paid
December 31, 2016**

| Payee | Address | | Amount Paid |
|--|--------------------------------|------------------------------|----------------|
| Angelica Patient Assistance Program | 555 Madison Avenue | New York, NY 10022 | 750 |
| Ballet Theatre Foundation | 890 Broadway | New York, NY 10003 | 6,000 |
| Binghamton University Foundation | PO Box 6005 | Binghamton, NY 13902-6005 | 3,000 |
| Boyce Thompson Institute | 533 Tower Road | Ithaca, NY 14853 | 1,500 |
| Brooklyn Academy of Music, Inc. | 30 Lafayette Avenue | Brooklyn, NY 11217 | 3,000 |
| Burke Rehabilitation Hospital | 785 Mamaroneck Hospital | White Plains, NY 10605 | 7,500 |
| Calvary Hospital | 1740 Eastchester Road | Bronx, NY 10461 | 675 |
| Canterbury Choral Society, Inc. | 2 East 90th St | New York, NY 10128 | 1,500 |
| Caritas for Port Chester, Inc. | 19 Smith Street | Port Chester, NY 10573 | 600 |
| Catholic Guardian Society | 1011 First Avenue | New York, NY 10022 | 15,000 |
| Catskill Art Society | PO Box 991 | Livingston Manor, NY 12758 | 750 |
| Catskill Mountain Keeper | PO Box 1000 | Livingston Manor, NY 12759 | 900 |
| Church of the Resurrection | 910 Boston Post Road | Rye, NY 10580 | 600 |
| Convent of the Sacred Heart | 1 East 91st Street | New York, NY 10128 | 1,500 |
| Fellowship Convent Church | 720 Castle Hill Ave | Bronx, NY 10473 | 225 |
| Fifth Avenue Presbyterian Church | 7 West 55 street | New York, NY 10019 | 6,000 |
| Harvest Home Farmer's Market | 104-106 E 126th Street Unit 4 | New York, NY 10035 | 1,500 |
| Hewlett East Rockaway Jewish Center | 295 Main Street | East Rockaway, NY 11518 | 1,350 |
| Icahn School of Medicine at Mount Sinai | One Gustave L. Levy Place | New York, NY 10029 | 300 |
| Memorial Sloan Kettering Cancer Center | 1275 York Avenue | New York, NY 10065 | 1,500 |
| New York Academy of Medicine | 1216 5th avenue | New York, NY 11204 | 1,500 |
| New York Public Library | Fifth Ave and 42nd St., Rm 105 | New York, NY 10018 | 150 |
| New York University | 383 Lafayette Street | New York, NY 10003 | 1,500 |
| Part of the Solution | 2759 Webster Avenue | Bronx, NY 10458-3708 | 1,500 |
| Point Breeze Fire Dept., Inc. | 1 Firemen's Plaza | Breezy Point, NY 11697 | 1,200 |
| Port Chester Carver Center | 400 Westchester Avenue | Port Chester, NY 10573 | 2,250 |
| Project Sunshine, Inc. | 211 East 43rd Street | New York, NY 10017 | 4,350 |
| Rockaway Point Volunteer Fire Department | PO Box 333 | Fort Tilden, NY 11695 | 1,200 |
| Scenic Hudson | 1 Civic Center Plaza Suite 200 | Poughkeepsie, NY 12601 | 2,250 |
| Search Dog Foundation | 6800 Wheeler Canyon Road | Santa Paula, CA 93060 | 1,500 |
| St. David's School | 12 East 89th Street | New York, NY 10128 | 1,500 |
| St. Stanislaus Kostka Catholic Academy | 12 Newel Street | Brooklyn, NY 11222 | 750 |
| St. Thomas Church | 1 West 53rd St. | New York, NY 10019 | 15,000 |
| Storm King Art Center | 1 Museum Road | New Windsor, NY 12553 | 3,750 |
| The Civilians, Inc. | 138 S Oxford St #3C | Brooklyn, NY 11217 | 750 |
| The Mount Sinai Hospital | One Gustave L. Levy Place | New York, NY 10029 | 4,500 |
| The New York Community Trust | 909 3rd Ave | New York, NY 10022 | 14,733 |
| The New York Women's Foundation | 39 Broadway, Suite 2300 | New York, NY 10006 | 1,500 |
| The Reformed Church of Bronxville | 180 Pondfield Road | Bronxville, NY 10708 | 6,300 |
| The Trustees of Columbia University | 33 West 60th Street, 7th Fl | New York, NY 10023 | 1,500 |
| Union Settlement Association | 237 West 104th St. | New York, NY 10029 | 6,000 |
| United Nations International School | 24-50 F.D.R. Drive | New York, NY 10010 | 6,000 |
| Vera Institute of Justice | 233 Broadway 12 TH FL | New York, NY 10279 | 7,500 |
| Visions/Services for the Blind | 500 Greenwich Street Suite 302 | New York, NY 10013 | 750 |
| Visiting Nurse Service of New York | 107 East 70th Street | New York, NY 10021 | 7,500 |
| | | Total Matching Grants | 149,583 |

| Altman Foundation | | | | | | | |
|--|---|----------|------------|-------------|--------------------------------|--|------------------------------|
| Part XV Supplementary Information | | | | | | | |
| Line 3b Grants Approved for Future Payments | | | | | | | |
| December 31, 2016 | | | | | | | |
| Recipient | Address | City | State name | Postal Code | Foundation Status of Recipient | Purpose | Amt Payable as of 12/31/2016 |
| American Ballet Theatre | 890 Broadway, 3rd Floor | New York | New York | 10003-1278 | PC | to help provide organizational capacity building support | \$75,000 |
| American Museum of Natural History | Central Park West at 79th St. | New York | New York | 10024-5192 | PC | to provide capacity building support for the Museum's Education Department in preparation for the new Gilder Center for Science, Education and Innovation, scheduled to open in 2020 | \$50,000 |
| ArtsPool | 138 South Oxford Street, Suite 2B | Brooklyn | New York | 11217 | PC | to provide capacity building support to help ArtsPool expand its administrative-infrastructure services to nonprofit arts organizations in the areas of finance, workforce administration, and compliance | \$50,000 |
| Breakthrough New York, Inc. | 123 William Street, 4th Floor | New York | New York | 10038 | PC | to help support the College Bound Program | \$50,000 |
| Bronx River Alliance, Inc. | One Bronx River Parkway | Bronx | New York | 10462 | PC | to help support the Bronx River Greenway Program, which helps to coordinate capital improvements and promote public use of the Greenway | \$65,000 |
| Brooklyn Academy of Music, Inc. | Peter Jay Sharp Building, 30 Lafayette Avenue | Brooklyn | New York | 11217-1486 | PC | to help create an effective "BAM Virtual Season" distance-learning program and enhance BAM's online study guides for K-12 teachers | \$100,000 |
| Brooklyn Kindergarten Society | 57 Willoughby Street, 4th Floor | Brooklyn | New York | 11201 | PC | to be divided as follow: \$75,000 each year to support BKS' early childhood centers, and an additional \$50,000 in year one to support the expansion of BKS' work with family child care providers and programming for adults and families in Weeksville Gardens | \$75,000 |
| Brooklyn Youth Chorus Academy, Inc. | 179 Pacific Street | Brooklyn | New York | 11201-6213 | PC | to support the Choral Music Education and Performance Program and launch a School Management and Communications Initiative | \$75,000 |
| Center for New York City Neighborhoods, Inc. | 17 Battery Place, Suite 728 | New York | New York | 10004 | PC | to help support foreclosure prevention services for homeowners and foster more integrated systems to promote and preserve affordable homeownership in New York City | \$100,000 |
| Citizens' Committee for Children of New York, Inc. | 14 Wall Street, Suite 4E | New York | New York | 10005 | PC | to provide general operating support for CCC's work on behalf on New York City's children and youth | \$50,000 |

| Recipient | Address | City | State name | Postal Code | Foundation Status of Recipient | Purpose | Amt Payable as of 12/31/2016 |
|--|---|----------|------------|-------------|--------------------------------|--|------------------------------|
| City Limits | 394 Broadway, 4th Floor | New York | New York | 10013 | PC | to build a Customer Relationship Management (CRM) database and communication program that fuels the conversion of engaged readers to paid members and donors, expands outreach to new audiences and communities, and improves impact through targeted community engagement | \$35,000 |
| Cool Culture, Inc. | 80 Hanson Place, Suite 605 | Brooklyn | New York | 11217 | PC | to help support capacity-building activities to refine core competencies for Cool Culture staff, create new performance evaluation tools, and launch a professional development series to further integrate leadership development, outcomes-based thinking and collaboration across departments into the organization's culture and practice | \$23,000 |
| Cornelia Connelly Center for Education | Cornelia Connelly Center, 220 East 4th Street | New York | New York | 10009 | PC | to provide general operating support for the Cornelia Connelly Center middle school and graduate support services | \$50,000 |
| Cristo Rey New York High School | 112 East 106th Street | New York | New York | 10029 | PC | to provide general support | \$75,000 |
| Downtown Community Television Center, Inc. | 87 Lafayette Street | New York | New York | 10013-4410 | PC | to support DCTV's free long-term intensive media arts training for underserved NYC youth | \$45,000 |
| Gina Gibney Dance | 280 Broadway | New York | New York | 10007 | PC | to provide capacity building support for a Marketing, Data and Transaction Initiative that will align institutional identity and streamline data collection and financial transaction systems to support fundraising efforts and financial and operational management of classes, rentals, programs and performances | \$50,000 |
| Goddard Riverside Community Center | 593 Columbus Avenue | New York | New York | 10024 | PC | to be divided as follows: \$125,00 each year help increase the number of NYC students entering college and attaining degrees through direct counseling and support services and professional development for counselors; and an additional one-time contribution of \$50,000 in the first year to support the new position of Options Policy Associate | \$125,000 |
| Hot Bread Kitchen | 1590 Park Avenue | New York | New York | 10029 | PC | to provide capacity-building support to help strengthen and expand Hot Bread Kitchen's bakery operations | \$30,000 |
| iMentor | 30 Broad Street, 9th Floor | New York | New York | 10004 | PC | to support iMentor's New York City program designed to create pathways for low-income youth to college enrollment, persistence, and completion | \$100,000 |

| Recipient | Address | City | State name | Postal Code | Foundation Status of Recipient | Purpose | Amt Payable as of 12/31/2016 |
|--|-----------------------------------|------------------|------------|-------------|--------------------------------|--|------------------------------|
| Lawyers Alliance for New York | 171 Madison Avenue, 6th Floor | New York | New York | 10016 | PC | to provide business and transactional legal services to nonprofits, including those working in areas of mutual interest to the Altman Foundation and Lawyers Alliance | \$30,000 |
| Neighborhood Trust Financial Partners, Inc. | 1112 St. Nicholas Avenue, 4 Floor | New York | New York | 10032 | PC | to help support the Financial Empowerment Integration Model | \$60,000 |
| Nonprofit Coordinating Committee of New York, Inc. | 135 West 36th Street, 15th Floor | New York | New York | 10018 | PC | to leverage NPCC's new leadership to improve and expand programs, services, and benefits, thereby strengthening and improving New York nonprofits and their management practices | \$30,000 |
| Notre Dame School of Manhattan | 327 West 13th Street | New York | New York | 10014 | PC | to create a Facilitator of Mission and Board Development position responsible for identifying and bringing on new board members and strengthening strategic planning and fundraising | \$50,000 |
| Park Avenue Armory | 643 Park Avenue | New York | New York | 10065 | PC | to provide capacity building support for the execution and expansion of complex unconventional "only at the Armory" productions and programming | \$75,000 |
| Queens Council on the Arts, Inc. | 37-11 35th Avenue | Astoria | New York | 11101 | PC | to help support the High School to Art School (HS2AS) initiative | \$25,000 |
| Row New York, Inc. | 10-27 46th Avenue, Suite 101 | Long Island City | New York | 11101 | PC | to provide general operating support for rowing and academic programs in New York City | \$60,000 |
| SeaChange Capital Partners, Inc. | 1385 Broadway, 23rd Floor | New York | New York | 10018 | PC | to renew participation in New York Merger, Acquisition, and Collaboration Fund (NYMAC), which encourages and supports mergers, acquisitions, and other types of formal, long-term collaborations between nonprofit organizations serving New York City | \$75,000 |
| Sponsors for Educational Opportunity, Inc. | 55 Exchange Place, Suite 601 | New York | New York | 10005 | PC | to continue support for SEO's "OpportunityX2" expansion initiative in New York | \$200,000 |
| Teachers College, Columbia University | 525 West 120th Street, Box 125 | New York | New York | 10027-6696 | PC | to help diversify and strengthen faculty and administrators of color in independent and nonpublic New York City schools through participation in the Klingenstein Center master's degree programs and post-participation in an online coaching program | \$60,000 |
| The Association for Neighborhood & Housing Development, Inc. | 50 Broad Street, Suite 1402 | New York | New York | 10004 | PC | to help promote the creation and preservation of affordable housing in New York City | \$70,000 |
| The Bronx Museum of the Arts | 1040 Grand Concourse | Bronx | New York | 10456-3999 | PC | to help upgrade and integrate the Bronx Museum's digital technologies | \$50,000 |

| Recipient | Address | City | State name | Postal Code | Foundation Status of Recipient | Purpose | Amt Payable as of 12/31/2016 |
|--|---|-------------|------------|-------------|--------------------------------|---|------------------------------|
| The Center for Arts Education, Inc. | 266 West 37th Street, 9th Floor | New York | New York | 10018 | PC | to help develop and launch ArtsEdMap, an easy-to-use, multi-lingual mapping tool, designed to provide access to New York City public schools' arts-in-education data and information; and toward the first phase of a collective impact initiative to expand arts learning in one school district in Central Brooklyn | \$75,000 |
| The East Harlem School at Exodus House | 309 East 103 Street | New York | New York | 10029 | PC | to provide general operating support | \$50,000 |
| The HOPE Program Inc. | One Smith Street | Brooklyn | New York | 11201 | PC | to help support a job placement program to help New Yorkers living in poverty achieve economic self-sufficiency | \$40,000 |
| The Metropolitan Museum of Art | 1000 Fifth Avenue | New York | New York | 10028-0198 | PC | to help bring high-quality arts and cultural activities to underserved P-12 NYC students by training educators across disciplines, integrating new training strategies, and documenting changes in classroom practice | \$100,000 |
| The New York Academy of Medicine | 1216 Fifth Avenue | New York | New York | 10029-5293 | PC | to conduct and report on the results of an applied research study examining best practices, recurrent challenges, and lessons learned in an effort to forge sustainable partnerships between hospitals/health systems and community-based organizations/providers | \$100,000 |
| The New York Botanical Garden | 2900 Southern Blvd. | Bronx | New York | 10458-5126 | PC | to help support the Children's Education program | \$125,000 |
| The New York Community Trust | 909 Third Avenue, 22nd Floor | New York | New York | 10022 | PC | to help support the New York City Workforce Development Fund | \$75,000 |
| The Parent-Child Home Program, Inc. | 1415 Kellum Place, Suite 101 | Garden City | New York | 11530-1690 | PC | to help support implementation of the Parent-Child Home Program with family child care providers in New York City and an evaluation of the PCHP for Family Childcare Care model | \$100,000 |
| The Partnership for Inner-City Education | 1011 First Avenue, 18th Floor | New York | New York | 10022 | PC | to support the continued implementation of a comprehensive academic plan across the Partnership's network of six schools (Pre-K through Grade 8) in Harlem and the South Bronx | \$50,000 |
| The Studio Museum in Harlem | 144 West 125th Street | New York | New York | 10027 | PC | To help revitalize the Museum's technology infrastructure and website with the goal of strengthening communications, content delivery, and audience engagement as the Museum prepares for the temporary re-siting of its programming (the INHARLEM project) and the creation of its new building | \$100,000 |
| Woodlawn Conservancy, Inc. | Woodlawn Conservancy, Inc, .4199 Webster Avenue | Bronx | New York | 10470 | PC | to help support the Woodlawn Cemetery training program in stone masonry and historic preservation | \$40,000 |

| Recipient | Address | City | State name | Postal Code | Foundation Status of Recipient | Purpose | Amt Payable as of 12/31/2016 |
|--|--------------------------|----------|------------|-------------|--------------------------------|--|------------------------------|
| Workforce Professionals Training Institute | 11 Park Place, Suite 701 | New York | New York | 10007 | PC | to provide support for training, consulting, and field-building and communications initiatives to strengthen workforce development programs, organizations, and systems in New York City | \$75,000 |
| Total Grants Approved for Future Payments | | | | | | | \$2,938,000 |

Altman Foundation
Form 990PF: Part II - Line 10b
Schedule of Investments-Common Stock
For the Year Ended December 31, 2016

EIN #: 13-1623879

| Number of Shares | Security/Description | Market Value |
|------------------|---|--------------|
| 5,163.00 | ACTIVISION BLIZZARD INC COM STK | 186,435.93 |
| 2,140.00 | ADR ALIBABA GROUP HOLDING LTD SPONSORED ADS | 187,913.40 |
| 10,367.00 | ADR TENCENT HLDGS LTD ADR | 251,088.74 |
| 726.00 | ALNYLAM PHARMACEUTICALS INC COM | 27,181.44 |
| 1,116.00 | ALPHABET INC CAP STK CL C | 861,351.12 |
| 1,174.00 | AMAZON COM INC COM | 880,347.38 |
| 3,317.00 | AMN HEALTHCARE SVCS INC COM | 127,538.65 |
| 2,088.00 | ANTERO RES CORP COM | 49,381.20 |
| 1,060.00 | ARCHROCK INC COM | 13,992.00 |
| 3,512.00 | ATHENAHEALTH INC COM MON STOCK | 369,357.04 |
| 6,430.00 | BANCORPSOUTH INC COM | 199,651.50 |
| 1,191.00 | BERKSHIRE HATHAWAY INC-CL B | 194,109.18 |
| 8.00 | BLACKLIGHT PWR INC OC-CAP STK | 96,000.00 |
| 14,590.00 | BROCADE COMMUNICATIONS SYS INC COM NEW STK | 182,229.10 |
| 3,360.00 | CAMBREX CORP COM | 181,272.00 |
| 13,590.00 | CBL & ASSOC PPTYS INC COM | 156,285.00 |
| 1,960.00 | CHENIERE ENERGY INC COM NEW | 81,202.80 |
| 2,297.00 | CHENIERE ENERGY PARTNERS L P COM | 66,199.54 |
| 497.00 | CHIPOTLE MEXICAN GRILL INC COM STK | 187,528.04 |
| 3,110.00 | COMMVAULT SYS INC COM STK | 159,854.00 |
| 11,310.00 | CROCS INC COM | 77,586.60 |
| 3,080.00 | CROSSAMERICA PARTNERS LP | 77,585.20 |
| 3,220.00 | CUBIC CORP COM | 154,399.00 |
| 1,880.00 | CURTISS WRIGHT CORP COM | 184,916.80 |
| 10,090.00 | CYPRESS SEMICONDUCTOR CORP COM | 115,429.60 |
| 2,520.00 | DCT INDL TR INC | 120,657.60 |
| 2,177.00 | DEXCOM INC COM | 129,966.90 |
| 4,070.00 | EDUCATION RLTY TR INC COM NEW COM NEW | 172,161.00 |
| 2,400.00 | ENERSYS COM | 187,440.00 |
| 2,750.00 | ESCO TECHNOLOGIES INC | 155,787.50 |
| 2,271.00 | EURONET WORLDWIDE INC COM | 164,488.53 |
| 10,260.00 | F N B CORP PA COM | 164,467.80 |
| 7,184.00 | FACEBOOK INC CL A CL A | 826,519.20 |
| 4,630.00 | GENTHERM INC COM NPV | 156,725.50 |
| 6,990.00 | GTT COMMUNICATIONS INC COM | 200,962.50 |
| 3,300.00 | HEALTHCARE TR AMER INC CL A NEW CL A NEW | 96,063.00 |
| 1,502.00 | HELEN TROY LTD COM STK | 126,843.90 |
| 1,791.00 | HILL-ROM HLDGS INC COM STK | 100,546.74 |
| 8,630.00 | HOPE BANCORP INC COM | 188,910.70 |
| 1,830.00 | ICF INTL INC COM STK | 101,016.00 |
| 2,010.00 | ICON PLC COM | 151,152.00 |
| 5,604.00 | ILLUMINA INC COM | 717,536.16 |
| 3,109.00 | INNPHOS HLDGS INC COM STK | 162,476.34 |
| 1,762.00 | INTREXON CORP COM | 42,816.60 |
| 1,183.00 | INTUITIVE SURGICAL INC COM NEW STK | 750,223.11 |
| 12,398.00 | INVESTORS BANCORP INC NEW COM | 172,952.10 |
| 4,241.00 | ITRON INC COM STK NPV | 266,546.85 |
| 866.00 | JUNO THERAPEUTICS INC COM | 16,324.10 |
| 11,650.00 | KEARNY FINL CORP MD COM | 181,157.50 |
| 5,622.00 | KINDER MORGAN INC DEL COM | 116,431.62 |
| 2,608.00 | MACQUARIE INFRASTRUCTURE CORP | 213,073.60 |
| 2,770.00 | MAGELLAN HEALTH INC COM NEW COM NEW | 208,442.50 |
| 6,769.00 | MASTERCARD INC CL A | 698,899.25 |
| 6,814.00 | MICHAEL KORS HOLDINGS LTD COM NPV | 292,865.72 |
| 5,401.00 | MICROSEMI CORP COM | 291,491.97 |
| 3,355.00 | MLP ANTERO MIDSTREAM PARTNERS LP | 103,602.40 |
| 4,299.00 | MLP ARCHROCK PARTNERS L P COM | 68,955.96 |
| 9,232.00 | MLP BOARDWALK PIPELINE PARTNERS LP COM | 160,267.52 |
| 8,234.00 | MLP BUCKEYE PARTNERS L P | 544,761.44 |
| 234.00 | MLP CHENIERE ENERGY PARTNERS LP HLDGS LLC | 5,234.58 |
| 3,411.00 | MLP DCP MIDSTREAM LP | 130,914.18 |
| 10,783.00 | MLP ENBRIDGE ENERGY PARTNERS L P COM | 274,750.84 |
| 25,003.00 | MLP ENERGY TRANSFER EQUITY L P COM | 482,807.93 |
| 6,933.00 | MLP ENLINK MIDSTREAM PARTNERS LP COM | 127,705.86 |
| 40,010.00 | MLP ENTERPRISE PRODS PARTNERS L P COM | 1,081,870.40 |
| 2,895.00 | MLP EQT MIDSTREAM PARTNERS L P | 221,988.60 |
| 1,137.00 | MLP GENESIS ENERGY L P | 40,954.74 |
| 1,304.00 | MLP HOLLY ENERGY PARTNERS L P COM | 41,806.24 |
| 4,742.00 | MLP MAGELLAN MIDSTREAM PARTNERS LP COM | 358,637.46 |
| 14,582.00 | MLP MPLX LP COM | 504,828.84 |
| 5,522.00 | MLP NGL ENERGY PARTNERS LP COM | 115,962.00 |
| 1,441.00 | MLP NOBLE MIDSTREAM PARTNERS LP COM | 51,876.00 |

Altman Foundation
Form 990PF: Part II - Line 10b
Schedule of Investments-Common Stock
For the Year Ended December 31, 2016

EIN #: 13-1623879

| Number of Shares | Security/Description | Market Value |
|------------------|---|-----------------------------|
| 4,384.00 | MLP NUSTAR ENERGY LP | 218,323.20 |
| 4,009.00 | MLP ONEOK PARTNERS L P | 172,427.09 |
| 1,301.00 | MLP PBF LOGISTICS LP | 23,678.20 |
| 19,057.00 | MLP PLAINS ALL AMERICAN PIPELINE L.P. | 615,350.53 |
| 6,957.00 | MLP RICE MIDSTREAM PARTNERS LP | 171,003.06 |
| 5,321.00 | MLP SHELL MIDSTREAM PARTNERS L P | 154,787.89 |
| 399.00 | MLP SPRAGUE RES LP COM | 11,211.90 |
| 2,519.00 | MLP SUMMIT MIDSTREAM PARTNERS LP COM | 63,352.85 |
| 2,052.00 | MLP TALLGRASS ENERGY PARTNERS LP COM | 97,367.40 |
| 4,265.00 | MLP TESORO LOGISTICS LP | 216,704.65 |
| 1,903.00 | MLP USA COMPRESSION PARTNERS LP COM | 32,921.90 |
| 1,577.00 | MLP VALERO ENERGY PARTNERS LP | 69,813.79 |
| 2,534.00 | MLP VTTI ENERGY PARTNERS LP COM | 40,924.10 |
| 3,870.00 | MLP WESTERN REFNG LOGISTICS LP COM | 82,624.50 |
| 2,025.00 | MLP WESTLAKE CHEM PARTNERS LP COM | 43,942.50 |
| 10,831.00 | MLP WILLIAMS PARTNERS L P NEW COM | 411,902.93 |
| 1,749.00 | MOBILEYE NV | 66,671.88 |
| 1,064.00 | NETFLIX INC COM STK | 131,723.20 |
| 457.00 | NUSTAR GP HLDGS LLC | 13,207.30 |
| 5,631.00 | NVIDIA CORP COM | 601,052.94 |
| 2,680.00 | OXFORD INDS INC COM | 161,148.40 |
| 2,490.00 | PARSLEY ENERGY INC CL A CL A | 87,747.60 |
| 3,180.00 | PERFICIENT INC COM STK | 55,618.20 |
| 6,020.00 | PHARMERICA CORP COM STK | 151,403.00 |
| 841.00 | PHILLIPS 66 COM | 72,670.81 |
| 1,727.00 | PLAINS GP HLDGS L P LTD | 59,892.36 |
| 8,840.00 | PROS HLDGS INC COM | 190,236.80 |
| 3,866.00 | PTC INC COM | 178,879.82 |
| 1,764.00 | REGAL BELOIT CORP | 122,157.00 |
| 23,186.00 | ENERGY TRANSFER STOCK | 830,290.66 |
| 12,617.00 | SUNOCO LOGISTICS | 303,060.34 |
| 2,230.00 | CLARCOR INC COM | 183,908.10 |
| 3,680.00 | RICE ENERGY INC COM | 78,568.00 |
| 3,684.00 | ROLLINS INC COM | 124,445.52 |
| 5,299.00 | S&P GLOBAL INC COM | 569,854.46 |
| 9,325.00 | SALESFORCE COM INC COM STK | 638,389.50 |
| 3,088.00 | SEMGROUP CORP CL A CL A | 128,924.00 |
| 2,503.00 | SERVICENOW INC | 186,073.02 |
| 2,751.00 | SILGAN HLDGS INC COM | 140,796.18 |
| 3,100.00 | SM ENERGY CO COM | 106,888.00 |
| 3,150.00 | SPIRE INC COM | 203,332.50 |
| 4,571.00 | SPLUNK INC COMSTK COM | 233,806.65 |
| 3,718.00 | STARBUCKS CORP COM | 206,423.36 |
| 345.00 | SUNOCO LOGISTICS PARTNERS OPERATION COM | 9,277.05 |
| 5,496.00 | SYKES ENTERPRISES INC COM | 158,614.56 |
| 1,811.00 | TARGA RES CORP COM | 101,542.77 |
| 3,643.00 | TESLA INC COM | 778,472.67 |
| 347.00 | THE PRICELINE GROUP INC | 508,722.82 |
| 8,988.00 | TIME INC NEW COM | 160,435.80 |
| 3,332.00 | TRANSCANADA CORP COM STK | 150,439.80 |
| 18,024.00 | TWITTER INC COM | 293,791.20 |
| 6,320.00 | UNDER ARMOR INC CL A | 183,596.00 |
| 5,220.00 | UNITED CMNTY BK BLAIRSVILLE GA COM | 154,616.40 |
| 4,118.00 | UNITED TECHNOLOGIES CORP COM | 451,415.16 |
| 2,550.00 | UNVL ELECTRS INC COM | 164,602.50 |
| 5,541.00 | VISA INC COM CL A STK | 432,308.82 |
| 2,212.00 | WEST PHARMACEUTICAL SVCS INC COM | 187,643.96 |
| 3,850.00 | WESTERN ALLIANCE BANCORPORATION COM | 187,533.50 |
| 7,613.00 | WILLIAMS CO INC COM | 237,068.82 |
| 2,404.00 | WINNEBAGO INDS INC COM | 76,086.60 |
| 2,645.00 | WOODWARD INC COM | 182,637.25 |
| 9,518.00 | WORKDAY INC CL A COM | 629,044.62 |
| 2,088.00 | KINDER MORGAN INC | 101,581.20 |
| | | <u>29,963,644.13</u> |
| | Add: accrued income | 12,471.53 |
| | Less: pending purchases | <u>(2,489.37)</u> |
| | | <u><u>29,973,626.29</u></u> |

Altman Foundation
Form 990PF: Part II - Line 13
Schedule of Other Investments
For the Year Ended December 31, 2016

EIN #:13-1623879

| | |
|---|-----------------------|
| Private Equity | |
| 2006 Special Global Opportunities, LLC (LP) | \$ 968,071 |
| Aberdeen International Partners II (Offshore) | 5,890,926 |
| Commonfund Capital International Partners V, LP | 698,636 |
| Commonfund Capital International Partners VI, LP | 5,182,960 |
| Commonfund Capital Private Equity Partners V, LP | 772,470 |
| Commonfund Capital Private Equity Partners VI, LP | 1,956,300 |
| Commonfund Capital Private Equity Partners VII, LP | 8,205,183 |
| Commonfund Capital Venture Partners VI, LP | 691,152 |
| Commonfund Capital Venture Partners VII, LP | 2,393,483 |
| Invesco Non-US Partnership Fund III, LP | 58,087 |
| Invesco US Buyout Out & Expansion Capital Partnership Fund III, LP | 35,267 |
| Invesco Venture Partnership Fund III, LP | 304,083 |
| Morgan Stanley AIP Private Markets Fund VI (Cayman) LP | 2,981,398 |
| Rockefeller Access Fund 06-I LLC (LP) | 3,693,791 |
| Vintage Fund V Offshore LP | 2,090,638 |
| Vintage VII Offshore | 163,629 |
| Warburg Pincus Private Equity XII | 1,634,528 |
| Total Private Equity | \$ 37,720,602 |
| International Equity | |
| Global Emerging Markets Discovery Fund LLC | \$ 8,322,432 |
| Silchester International Investors International Value Equity Trust | 22,351,564 |
| Total International Equity | \$ 30,673,996 |
| Fixed Income | |
| Aberdeen Structured Product Opportunistic Fund | \$ 6,385,877 |
| Alliance Bernstein Institutional Fund - Global Fixed Income Fund | 9,688,228 |
| Brandywine Global Investment Management Trust: Global Opportunistic Fixed Income Fund | 12,054,854 |
| Total Fixed Income | \$ 28,128,959 |
| Domestic Equity | |
| JPM Equity Income FD-R6 | \$ 14,761,460 |
| Global Equity | |
| Lone Cascade LP | \$ 12,066,325 |
| Real Asset | |
| AG Energy Credit Opportunities Fund | \$ 1,557,207 |
| Commonfund Capital Natural Resources Partners IX, LP | 5,228,974 |
| Commonfund Capital Natural Resources Partners VII, LP | 3,934,815 |
| Total Real Asset | \$ 10,720,996 |
| Real Estate | |
| AG Net Lease Realty II | \$ 5,063,422 |
| Metropolitan Real Estate Partners 2008 Distressed Co-Investment Fund, LP | 614,055 |
| Metropolitan Real Estate Partners V, LP | 891,248 |
| RREEFF America REIT II, Inc | 5,028,538 |
| Total Real Estate | \$ 11,597,263 |
| Equity Oriented Hedge | |
| Archipelago Holdings, Ltd | \$ 15,119,034 |
| Discovery Global Macro Fund | 1,619,873 |
| Glenview Capital Partners | 1,896,567 |
| Owl Creek Overseas Fund | 1,632,082 |
| PFM Diversified Offshore Fund Ltd | 1,363,208 |
| Two Sigma Absolute Return Cayman | 2,983,362 |
| York Investment Ltd | 1,301,496 |
| Total Equity Oriented Hedge | \$ 25,915,622 |
| Fixed Income Substitute | |
| Anchorage Capital Partners Offshore Ltd | 1,890,371 |
| Brigade Leveraged Capital Structures Offshore Fund | 7,666,536 |
| Canyon Value Realization Fund Ltd | 2,186,021 |
| Convexity Capital Offshore, LP | 3,989,734 |
| Davidson Kempner Intl | 6,768,210 |
| HBK Offshore | 2,392,169 |
| Silver Point Capital Offshore Fund | 2,582,062 |
| Total Fixed Income Substitute | \$ 27,475,103 |
| Net unsettled security trades | \$ 457,580 |
| Total Other Investments | \$ 199,517,906 |

Form 990PF: Part IV

Capital Gains and Losses for Tax on Investment Income

December 31, 2016

| Account Description | Gross Sales Price | Cost or Other Basis | Gain/loss |
|---|-------------------|---------------------|-----------|
| AB Global Fixed Income | 52,155 | - | 52,155 |
| Aberdeen Asset Management | - | 260 | (260) |
| AG Energy Credit Opportunities Fund | 79,962 | - | 79,962 |
| AG Net Lease Realty II | 5,845 | - | 5,845 |
| Brandywine Global Fixed Inc | 219,759 | - | 219,759 |
| Brigade Leveraged Capital | 6,519,030 | 4,175,632 | 2,343,398 |
| CF International V | 162,380 | | 162,380 |
| CF International VI | 1,386,051 | | 1,386,051 |
| CF Nat Resources VII | 20,573 | | 20,573 |
| CF Natural Resources IX | | 3,583 | (3,583) |
| CF Private Equity V | 262,599 | | 262,599 |
| CF Private Equity VI | 537,501 | | 537,501 |
| CF Private Equity VII | 919,455 | | 919,455 |
| CF Venture Partners VII | 483,584 | | 483,584 |
| CF Venture Ptnrs VI | 152,738 | | 152,738 |
| Convexity Capital Offshore | 9,998,472 | 3,529,689 | 6,468,783 |
| DB RREEF America REIT II | | 14,105 | (14,105) |
| FLAG Intl Ptn II | 460,699 | | 460,699 |
| GS Vintage Fund V | 49,443 | | 49,443 |
| Invesco Non-US Ptn Fund III | | 273,692.00 | (273,692) |
| Invesco Partnership Fund III | | 135,051.00 | (135,051) |
| Invesco US BO&E Cap Ptn III | 23,297.00 | | 23,297 |
| JPM Equity Income | 87,139 | | 87,139 |
| JPM Global Emerging Markets Discovery | | 221,265 | (221,265) |
| Kennedy | 2,689,779 | 2,393,926 | 295,853 |
| Litespeed Offshore Fund | 1,512,859 | 1,875,000 | (362,141) |
| Lone Cascade | | 508,921 | (508,921) |
| Morgan Stanley Asset Management | 5,313,087 | 4,322,177 | 990,910 |
| MREP 2008 DCIF | | 286,685 | (286,685) |
| MREP V | 165,844 | | 165,844 |
| OZOF II | 892,116 | 875,000 | 17,116 |
| Rockefeller Access Fund 01 | 381,147 | | 381,147 |
| Rockefeller06 Global Opp | | 190,320 | (190,320) |
| Silchester Int'l Equity | 929,650 | | 929,650 |
| Two Sigma Absolute Return Cayman Fund Ltd | 419,425 | | 419,425 |
| Visium Global Offshore Fund Ltd. | 2,939,336 | 2,915,954 | 23,382 |
| Western Asset Macro Opportunities | 2,070,506 | 1,900,000 | 170,506 |
| ANTERO MIDSTREAM PARTNERS LP | | 7 | (7) |
| ANTERO RESOURCES CORP | | 1,133 | (1,133) |
| ARCHROCK INC | | 24,821 | (24,821) |
| ARCHROCK PARTNERS LP | | 2,440 | (2,440) |
| BUCKEYE PARTNERS LP | | 904 | (904) |
| CHENIERE ENERGY INC. | | 202 | (202) |
| CHENIERE ENERGY PART LP HOLD | 1 | | 1 |
| COLUMBIA PIPELINE PARTNERS L | | 553 | (553) |
| CPGXX | 4,119 | | 4,119 |
| CROSSAMERICA PARTNERS LP | 144 | | 144 |
| DCP MIDSTREAM PARTNERS LP | | 2,139 | (2,139) |
| DOMINION ENERGY INC | | 6 | (6) |
| ENABLE MIDSTREAM PARTNERS LP | | 1 | (1) |
| ENBRIDGE ENERGY PARTNERS LP | | 59 | (59) |
| ENERGY TRANSFER EQUITY LP | | 541 | (541) |
| ENERGY TRANSFER PARTNERS LP | 8,027 | | 8,027 |
| ENLINK MIDSTREAM PARTNERS LP | | 692 | (692) |
| ENTERPRISE PRODUCTS PARTNERS | | 1,315 | (1,315) |
| EQT MIDSTREAM PARTNERS LP | | 169 | (169) |

Capital Gains and Losses for Tax on Investment Income

December 31, 2016

| Account Description | Gross Sales Price | Cost or Other Basis | Gain/loss |
|-------------------------------|-------------------|---------------------|----------------------|
| EXTERRAN CORP COM | | 322 | (322) |
| GASLOG LTD | | 1,527 | (1,527) |
| GENESIS ENERGY L.P. | | 116 | (116) |
| HOLLY ENERGY PARTNERS LP | 20 | | 20 |
| KINDER MORGAN 9.75% SERIES A | 60 | | 60 |
| KINDER MORGAN INC | | 24,752 | (24,752) |
| MACQUARIE INFRASTRUCTURE COR | | 10,697 | (10,697) |
| MAGELLAN MIDSTREAM PARTNERS | 493 | | 493 |
| MPLX LP | | 40 | (40) |
| NGL ENERGY PARTNERS LP | 10,573 | | 10,573 |
| NUSTAR ENERGY LP | | 93 | (93) |
| ONEOK INC. | 3,745 | | 3,745 |
| ONEOK PARTNERS LP | | 1,256 | (1,256) |
| PHILLIPS 66 | 5,785 | | 5,785 |
| PLAINS ALL AMER PIPELINE LP | 4,413 | | 4,413 |
| PLAINS GP HOLDINGS LP | | 27,063 | (27,063) |
| RICE ENERGY INC | 6,846 | | 6,846 |
| SEMGROUP CORP-CLASS A | | 93,286 | (93,286) |
| SPECTRA ENERGY CORP | 2 | | 2 |
| SPRAGUE RESOURCES LP | | 63 | (63) |
| TALLGRASS ENERGY PARTNERS LP | | 38 | (38) |
| TARGA RESOURCES CORP | 29,603 | | 29,603 |
| TEEKAY LNG PARTNERS LP | 66 | | 66 |
| TEEKAY OFFSHORE PARTNERS LP | | 55,645 | (55,645) |
| TESORO LOGISTICS LP | | 39 | (39) |
| TRANSCANADA CORP | | 205 | (205) |
| USA COMPRESSION PARTNERS LP | | 167 | (167) |
| VTI ENERGY PARTNERS LP | | 2,779 | (2,779) |
| WESTERN REFINING LOGISTICS LP | | 321 | (321) |
| WILLIAMS COS INC | | 56,692 | (56,692) |
| WILLIAMS PARTNERS LP | | 2,914 | (2,914) |
| other difference | | 122 | (122) |
| | 38,808,328 | 23,934,379 | 14,873,949 |
| | | | |
| | | | 990PF, Col B- line 4 |

Application for Automatic Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

▶ **File a separate application for each return.**

▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

Electronic filing (e-file). You can electronically file Form 8868 to request a 6-month automatic extension of time to file any of the forms listed below with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, for which an extension request must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile, click on Charities & Non-Profits, and click on e-file for *Charities and Non-Profits*.

Automatic 6-Month Extension of Time. Only submit original (no copies needed).

All corporations required to file an income tax return other than Form 990-T (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

| | | Enter filer's identifying number |
|--|---|--|
| Type or print | Name of exempt organization or other filer, see instructions. ALTMAN FOUNDATION | Employer identification number (EIN) or 13-1623879 |
| File by the due date for filing your return. See instructions. | Number, street, and room or suite no. If a P.O. box, see instructions. 8 WEST 40TH STREET, 19TH FLOOR | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW YORK, NY 10018 | |

Enter the Return Code for the return that this application is for (file a separate application for each return) 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|--|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

THE ALTMAN FOUNDATION

- The books are in the care of ▶ **8 WEST 40TH STREET, 19TH FLOOR - NEW YORK, NY 10018**
Telephone No. ▶ **(212) 682-0970** Fax No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box . If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 6-month extension of time until **NOVEMBER 15, 2017**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ calendar year **2016** or
▶ tax year beginning _____, and ending _____

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

| | | | |
|---|-----------|----|-----------------|
| 3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 3a | \$ | 373,644. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | 353,644. |
| c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 3c | \$ | 20,000. |

Caution: If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.